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# A quantum computing primer for operator theorists

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## Abstract

This is an exposition of some of the aspects of quantum computation and quantum information that have connections with operator theory. After a brief introduction, we discuss quantum algorithms. We outline basic properties of quantum channels, or equivalently, completely positive trace preserving maps. The main theorems for quantum error detection and correction are presented and we conclude with a description of a particular passive method of quantum error correction.

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## 1. Introduction

The study of the underlying mathematics for quantum computation and quantum information is quickly becoming an interesting area of research [61]. While these

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fields promise far reaching applications [12,24,41,60], there are still many theoretical and experimental issues that must be overcome, and many involve deep mathematical problems. The main goal of this paper is to provide a primer on some of the basic aspects of quantum computing for researchers with interests in operator theory or operator algebras. However, we note that the only prerequisite for reading this article is a strong background in linear algebra.

This work should not be regarded as an extensive introduction to the subject. Indeed, the reader with knowledge of quantum computing will surely have complaints about the selection of material presented. Moreover, we do not consider here the increasingly diverse fields of mathematics for which there are connections with quantum computing (algebraic geometry, Fourier analysis, group theory, number theory, operator algebras, etc.). Rather, our intention is to give a brief introduction and prove some specific results with the hope that this paper will help stimulate interest within the operator community.

The paper is organized as follows. The next section (Section 2) contains a discussion of the basic notions, notation and nomenclature used in quantum computing. In Section 3 we give a brief introduction to quantum algorithms by describing some elementary examples and presenting a simple algorithm (Deutsch's algorithm [19,20]) that demonstrates the power of quantum computation. In Section 4 we outline the mathematical formalism for the evolution of information inside quantum systems. This is provided by quantum channels, which are represented by completely positive trace preserving maps [15,27,51,52,62,63]. The penultimate section (Section 5) includes a discussion of quantum error correction methods. We present the fundamental theorems for quantum error detection and correction in the 'standard model' [28,47,64]. In the final section (Section 6) we describe a specific method of quantum error prevention [23,26,32,47,48,57,82] called the 'noiseless subsystem via noise commutant' method. Finally, we have included a large collection of references as an attempt to give the interested reader an entrance point into the quantum information literature.

## 2. Quantum computing basics

Let  $\mathcal{H}$  be a (complex) Hilbert space. We shall use the Dirac notation for vectors and vector duals in  $\mathcal{H}$ : A typical vector in  $\mathcal{H}$  will be written as a 'ket'  $|\psi\rangle$ , and the linear functional on  $\mathcal{H}$  determined by this vector is written as the 'bra'  $\langle\psi|$ . Notice that the products of a bra and a ket yield the inner product,  $\langle\psi_1||\psi_2\rangle$ , and a rank one operator,  $|\psi_2\rangle\langle\psi_1|$ . In particular, given  $|\psi\rangle \in \mathcal{H}$ , the rank one projection of  $\mathcal{H}$  onto the subspace  $\{\lambda|\psi\rangle : \lambda \in \mathbb{C}\}$  is written  $|\psi\rangle\langle\psi|$ . Further let  $\mathcal{B}(\mathcal{H})$  be the collection of operators which act on  $\mathcal{H}$ . We will use the physics convention  $U^\dagger$  for the adjoint of an operator  $U$ .

The study of operators on Hilbert space is central to the theory of quantum mechanics. For instance, consider the following formulation of the *postulates of quantum mechanics* [16,61,77]:

(i) To every closed quantum system there is an associated Hilbert space  $\mathcal{H}$ . The state of the system at any given time is described by a unit vector  $|\psi\rangle$  in  $\mathcal{H}$ , or equivalently by a rank one projection  $|\psi\rangle\langle\psi|$ . When the state of the system is not completely known, it is represented by a *density operator*  $\rho$  on  $\mathcal{H}$ , which is a positive operator with trace equal to one. (This is the quantum analogue of a probability distribution.)

(ii) The notion of *evolution* in a closed quantum system is described by unitary transformations. That is, there is a unitary operator  $U$  on the system Hilbert space such that the corresponding evolution is described by the conjugation map  $\rho \mapsto U\rho U^\dagger$ .

(iii) A *measurement* of a quantum system on  $\mathcal{H}$  is described by a set of operators  $M_k$ ,  $1 \leq k \leq r$ , such that

$$\sum_{k=1}^r M_k^\dagger M_k = \mathbb{I}.$$

The measurement is *projective* if each of the  $M_k$  is a projection, and thus the  $M_k$  have mutually orthogonal ranges. (A ‘classical measurement’ arises when all the projections are rank one.) The index  $k$  refers to the possible measurement outcomes in an experiment. If the state of the system is  $|\psi\rangle$  before the experiment, then the probability that event  $k$  occurs is given by  $p(k) \equiv \langle\psi|M_k^\dagger M_k|\psi\rangle$ . Notice that  $\{p(k)\}$  determines a probability distribution.

(iv) Given Hilbert spaces  $\mathcal{H}_1, \dots, \mathcal{H}_m$  associated with  $m$  quantum systems, there is a *composite quantum system* on the Hilbert space  $\mathcal{H}_1 \otimes \dots \otimes \mathcal{H}_m$ . In particular, if the states of the individual systems are  $|\psi_i\rangle$ , then the state of the composite system is given by  $|\psi_1\rangle \otimes \dots \otimes |\psi_m\rangle$ .

The Hilbert spaces of interest in quantum information theory are of dimension  $N = d^n$  for some positive integers  $n \geq 1$  and  $d \geq 2$ . (It is generally thought that extensions to infinite dimensional space will be necessary in the future, but the current focus is mainly on finite dimensional aspects.) For brevity we shall focus on the  $d = 2$  cases. Thus we let  $\mathcal{H}_N$  be the Hilbert space of dimension  $2^n$  given by the  $n$ -fold tensor product  $\mathcal{H}_N = \mathbb{C}^2 \otimes \dots \otimes \mathbb{C}^2 = (\mathbb{C}^2)^{\otimes n}$ . We will drop reference to  $N$  when convenient. Let  $\{|0\rangle, |1\rangle\}$  be a fixed orthonormal basis for 2-dimensional Hilbert space  $\mathcal{H}_2 = \mathbb{C}^2$ . These vectors will correspond to the classical base states in a given two level quantum system; such as the ground and excited states of an electron in an atom, ‘spin-up’ and ‘spin-down’ of an electron, two polarizations of a photon of light, etc. We shall make use of the abbreviated form from quantum mechanics for the associated standard orthonormal basis for  $\mathcal{H}_{2^n} = (\mathbb{C}^2)^{\otimes n} \simeq \mathbb{C}^{2^n}$ . For instance, the basis for  $\mathcal{H}_4$  is given by  $\{|ij\rangle : i, j \in \mathbb{Z}_2\}$ , where  $|ij\rangle$  is the vector tensor product  $|ij\rangle \equiv |i\rangle|j\rangle \equiv |i\rangle \otimes |j\rangle$ .

A quantum bit of information, or a ‘qubit’, is given by a unit vector  $|\psi\rangle = a|0\rangle + b|1\rangle$  in  $\mathcal{H}_2$ . The cases  $a = 0$  or  $b = 0$  correspond to the classical states, and otherwise  $|\psi\rangle$  is said to be in a *superposition* of the states  $|0\rangle$  and  $|1\rangle$ . A ‘qudit’ is a unit vector in  $\mathbb{C}^d$ . A vector state  $|\psi\rangle$  in  $\mathcal{H}_N$  is said to be *entangled* if it cannot be

written as a tensor product of states from its component systems, so that  $|\psi\rangle$  does not decompose as  $|\psi\rangle = |\phi_1\rangle|\phi_2\rangle$  for some vectors  $|\phi_i\rangle$ ,  $i = 1, 2$ . As an example, consider  $|\psi\rangle = \frac{|00\rangle + |11\rangle}{\sqrt{2}}$  in  $\mathcal{H}_4$ , this is a vector from the so-called ‘EPR pairs’ or ‘Bell states’. Roughly speaking, the notion of *decoherence* in a quantum system corresponds to the vanishing of off-diagonal entries in matrices associated with the system as it evolves.

A number of specific unitary matrices arise in the discussions below. The *Pauli matrices* are given by

$$X = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad Y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad Z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}.$$

Let  $\mathbb{I}_2$  be the  $2 \times 2$  identity matrix. We regard these as matrix representations for operators acting on the given basis for  $\mathcal{H}_2$ . In the  $n$ -qubit case (so  $N = 2^n$ ) we may consider all ‘single qubit unitary gates’ determined by the Pauli matrices. This is the set of all unitaries  $\{X_k, Y_k, Z_k : 1 \leq k \leq n\}$  where  $X_1 = X \otimes \mathbb{I}_2^{\otimes(n-1)}$ ,  $X_2 = \mathbb{I}_2 \otimes X \otimes \mathbb{I}_2^{\otimes(n-2)}$ , etc. Further let  $U_{CN}$  be the ‘controlled-NOT gate’ on  $\mathcal{H}_4$ . This is the unitary which acts on  $\mathcal{H}_4$  by  $U_{CN} : |i\rangle|j\rangle \mapsto |i\rangle|(i+j) \bmod 2\rangle$ . The CNOT gate has natural extensions  $\{U_{CN}^{(k,l)} : 1 \leq k \neq l \leq n\}$  to unitary gates on  $\mathcal{H}_N$ , where the  $k$ th and  $l$ th tensor slots act, respectively, as the control and target qubits. For instance, with this notation  $U_{CN} = U_{CN}^{(1,2)}$ . Note that  $U_{CN}^{(k,l)}$  only acts on the  $k$ th and  $l$ th qubits in  $\mathcal{H}_N = (\mathbb{C}^2)^{\otimes n}$ . The set  $\{X_k, Y_k, Z_k, U_{CN}^{(k,l)} : 1 \leq k \neq l \leq n\}$  forms a set of universal quantum gates for  $\mathcal{H}_N$ , meaning that this set generates the set of  $N \times N$  unitary matrices  $\mathcal{U}(N)$  as a group (up to complex phases). We shall also make use of the *Hadamard gate*

$$H = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix},$$

and the spin- $\frac{1}{2}$  Pauli matrices  $\sigma_k = 1/2K$  for  $k = x, y, z$ .

### 3. Quantum algorithms

Simply put, a *quantum algorithm* consists of an ensemble of initial states  $\rho$  which evolves under a unitary matrix  $U$  to a final density matrix  $U\rho U^\dagger$ . The famous factoring algorithm of Shor [67,70] and search algorithm of Grover [31] have received tremendous attention over the last decade. As a proper treatment of these algorithms is beyond the scope of this article, in this note we shall present the Deutsch algorithm [19] (and its generalization, the Deutsch–Josza algorithm [20]) since it is easily accessible and gives a good illustration of the power of quantum computation. In doing so, we shall give a description of two fundamental classes of quantum algorithms: the simulation of a classical function on a quantum computer and the algorithm for quantum parallel computation.

Before continuing, let us illustrate a simple example of how an operation such as *addition* may be performed with a quantum algorithm. This will also allow us to establish some notation for the discussion which follows. We shall identify the standard basis vectors  $|i_1 \cdots i_n\rangle$  for  $\mathcal{H}_N$  with the integers  $\{0, 1, \dots, 2^n - 1\}$  via binary expansions. Then we may define a unitary  $U$  on  $\mathcal{H}_N \otimes \mathcal{H}_N$  by  $U|x\rangle|y\rangle = |x\rangle|x \oplus y\rangle$  where the addition  $x \oplus y$  is modulo  $N$ . The corresponding quantum algorithm implements addition modulo  $N$ . (Note that the CNOT gate is obtained in the case  $N = 2$ .)

Towards the Deutsch algorithm, let  $H_n = H^{\otimes n}$  be the  $n$ -fold tensor product of the Hadamard gate acting on  $\mathcal{H}_N$ . Observe that  $H_n|0\rangle^{\otimes n}$  is the uniform superposition

$$H_n|0\rangle^{\otimes n} = \frac{1}{\sqrt{2^n}} \sum_{x=0}^{2^n-1} |x\rangle.$$

Fix positive integers  $k, m \geq 1$ . Let  $f : \mathbb{Z}_2^m \rightarrow \mathbb{Z}_2^k$  be a function. Consider the space  $\mathcal{H}_{m,k} = \mathcal{H}_{2^m} \otimes \mathcal{H}_{2^k}$ . Then  $\mathcal{H}_{m,k}$  has basis  $|x\rangle|y\rangle = |x\rangle \otimes |y\rangle$  where  $x \in \mathbb{Z}_2^m$  and  $y \in \mathbb{Z}_2^k$ . Define a unitary map  $U_f : \mathcal{H}_{m,k} \rightarrow \mathcal{H}_{m,k}$  by

$$U_f : |x\rangle|y\rangle \mapsto |x\rangle|y \oplus f(x)\rangle.$$

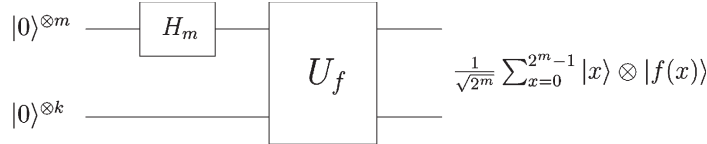
For a given  $x \in \mathbb{Z}_2^m$ , notice that the action of  $U_f$  is to permute the basis vectors  $\{|x\rangle|y\rangle : y \in \mathbb{Z}_2^k\}$ .

**Note 3.1.** Observe that  $U_f|x\rangle|0\rangle = |x\rangle|f(x)\rangle$  for all  $x$ . Thus  $U_f$  *simulates*  $f$  on a quantum computer, and in this sense any classical function can be performed on a quantum computer.

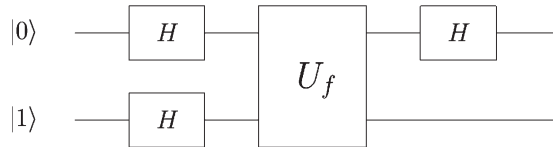
Next we compute

$$\begin{aligned} U_f((H_m|0\rangle^{\otimes m}) \otimes |0\rangle^{\otimes k}) &= U_f\left(\frac{1}{\sqrt{2^m}} \sum_{x=0}^{2^m-1} |x\rangle \otimes |0\rangle\right) \\ &= \frac{1}{\sqrt{2^m}} \sum_{x=0}^{2^m-1} U_f(|x\rangle \otimes |0\rangle) \\ &= \frac{1}{\sqrt{2^m}} \sum_{x=0}^{2^m-1} |x\rangle \otimes |f(x)\rangle. \end{aligned}$$

Hence an application of  $H_m \otimes \mathbb{1}_{2^k}$  followed by  $U_f$  yields a simultaneous parallel computation of  $f$  on *all* possible values of  $x$ . The corresponding ‘circuit-gate’ diagram for *quantum parallelism* for  $f$  is given below. In such a diagram, the ‘circuits’ correspond to states from the component systems (in this case  $|0\rangle^{\otimes m}$  and  $|0\rangle^{\otimes k}$  from the systems  $\mathcal{H}_{2^m}$  and  $\mathcal{H}_{2^k}$  respectively). The ‘gates’, drawn as boxes, indicate unitary operators applied as the system evolves with a left-to-right convention in the diagram (so here  $H_m \otimes \mathbb{1}_{2^k}$  is applied first, then  $U_f$  is applied).



Let  $f : \mathbb{Z}_2 \rightarrow \mathbb{Z}_2$  be a function. Then call  $f$  *constant* if  $f(0) = f(1)$  and *balanced* if  $f(0) \neq f(1)$ . The problem addressed by *Deutsch's algorithm* is the following: Given  $f : \mathbb{Z}_2 \rightarrow \mathbb{Z}_2$ , determine if it is constant or balanced. Classically an answer to this problem requires two evaluations of  $f$ . On the other hand, Deutsch's algorithm shows how to do this with one quantum operation. The circuit-gate diagram for the algorithm is given below.



The initial state is given by  $|01\rangle = |0\rangle \otimes |1\rangle$ . The first stage of the algorithm evolves this state to

$$(H \otimes H)(|0\rangle \otimes |1\rangle) = (H|0\rangle) \otimes (H|1\rangle) = |+\rangle \otimes |-\rangle,$$

where  $|+\rangle = \frac{|0\rangle+|1\rangle}{\sqrt{2}}$  and  $|-\rangle = \frac{|0\rangle-|1\rangle}{\sqrt{2}}$ . Observe that the action of  $U_f$  as defined above yields

$$U_f(|x\rangle \otimes |-\rangle) = (-1)^{f(x)}|x\rangle \otimes |-\rangle \quad \text{for } x \in \mathbb{Z}_2.$$

Thus, after the second stage of the algorithm the system has evolved to

$$\begin{aligned} U_f(|+\rangle \otimes |-\rangle) &= \frac{1}{\sqrt{2}}U_f((|0\rangle + |1\rangle) \otimes |-\rangle) \\ &= \left( \frac{(-1)^{f(0)}|0\rangle + (-1)^{f(1)}|1\rangle}{\sqrt{2}} \right) \otimes |-\rangle \\ &= \begin{cases} \pm|+\rangle \otimes |-\rangle & \text{if } f \text{ is constant,} \\ \pm|-\rangle \otimes |-\rangle & \text{if } f \text{ is balanced.} \end{cases} \end{aligned}$$

Finally, we apply a Hadamard gate  $H$  to the first qubit; that is, we apply  $H \otimes \mathbb{I}_2$  to the full system. Thus the system evolves to

$$\begin{cases} (H \otimes \mathbb{I}_2)(\pm|+\rangle \otimes |-\rangle) = \pm|0\rangle \otimes |-\rangle & \text{if } f \text{ is constant,} \\ (H \otimes \mathbb{I}_2)(\pm|-\rangle \otimes |-\rangle) = \pm|1\rangle \otimes |-\rangle & \text{if } f \text{ is balanced.} \end{cases}$$

In particular, if we measure the first qubit we get

$$\begin{cases} \pm|0\rangle & \text{if } f \text{ is constant,} \\ \pm|1\rangle & \text{if } f \text{ is balanced.} \end{cases}$$

Note that there is no uncertainty in the result: If we measure the first qubit and obtain  $\pm|0\rangle$  (respectively  $\pm|1\rangle$ ), then we know  $f$  is constant (respectively balanced) with probability 1.

**Remark 3.2.** The Deutsch–Josza generalization [20] yields a more dramatic result. Let  $f : \mathbb{Z}_2^m \rightarrow \mathbb{Z}_2$  be a function. Define  $f$  to be constant if  $f(x) = f(y)$  for  $x, y \in \mathbb{Z}_2^m$ , and balanced if  $|f^{-1}(0)| = 2^{m-1} = |f^{-1}(1)|$ . Suppose we know  $f$  is either constant or balanced and that we wish to determine which one  $f$  is. Classically, this requires  $2^{m-1} + 1$  evaluations to know with certainty what type  $f$  is. The Deutsch–Josza algorithm shows how this may be accomplished with a single operation on a quantum computer. The algorithm acts on  $\mathcal{H}_{2^m} \otimes \mathcal{H}_2$  with initial state  $|0\rangle^{\otimes m} \otimes |1\rangle$ . The circuit-gate diagram may be obtained by adjusting the Deutsch diagram with  $|0\rangle^{\otimes m} \otimes |1\rangle$  in place of  $|0\rangle \otimes |1\rangle$ ,  $m$  circuits at the top instead of one,  $H_m$  in place of  $H$  in the top circuits prior to  $U_f$ , and  $H_m$  in place of the final  $H$ . Thus,

$$|0\rangle^{\otimes m} \otimes |1\rangle \mapsto (H_m \otimes \mathbb{1}_2)U_f(H_m \otimes H)(|0\rangle^{\otimes m} \otimes |1\rangle).$$

**Note 3.3.** As a starting point for more recent work on quantum algorithms we mention [11,59,78]. Also see [7] for an extensive mathematical introduction to the study of quantum algorithms.

#### 4. Quantum channels

While evolution in a closed quantum system is unitary (see postulate (ii)), experimentally evolution occurs in an ‘open quantum system’. In such a system evolution is described mathematically by completely positive trace preserving maps [61]. The physical motivation for this is discussed below.

A (linear) map  $\mathcal{E} : \mathcal{B}(\mathcal{H}) \rightarrow \mathcal{B}(\mathcal{H})$  where  $\mathcal{H}$  is a Hilbert space is *completely positive* if for all  $k \geq 1$  the ‘ampliation map’

$$\mathcal{E}^{(k)} : \mathcal{M}_k(\mathcal{B}(\mathcal{H})) \rightarrow \mathcal{M}_k(\mathcal{B}(\mathcal{H}))$$

given by  $\mathcal{E}^{(k)}((\rho_{ij})) = (\mathcal{E}(\rho_{ij}))$  is a positive map. (We could also write  $\mathcal{E}^{(k)} = \mathbb{1}_k \otimes \mathcal{E}$ .) This is a rather strong condition; for instance, the transpose map is the standard example of a positive map which is not completely positive. The study of completely positive maps has been an active area of research in both the quantum physics and operator theory communities for at least thirty years. (In fact, it seems that many general results on completely positive maps have been obtained in the two fields without knowledge of the other.) See the texts of Kraus [51] and Paulsen [62,63] for good treatments of the subject from the two perspectives and [37,38] for other early work.

Thus, in the general setting quantum information evolves through an open quantum system via completely positive trace preserving maps.

**Definition 4.1.** A quantum channel  $\mathcal{E} : \mathcal{B}(\mathcal{H}) \rightarrow \mathcal{B}(\mathcal{H})$  is a map which is completely positive and trace preserving.

Trace preservation for a channel is equivalent to requiring the preservation of probabilities as states evolve through a quantum system. A channel is positive since density operators must evolve to density operators, and it is completely positive because this property must be preserved when the initial system is tensored with other systems (as part of a composite system). Physically, an open system can be regarded as lying inside a larger closed quantum system where all evolution occurs in a unitary manner. Thus, evolution in the open system can be regarded as a ‘compression’ of the unitary evolution on the larger closed system. The mathematical formalism for this physical description is provided by Stinespring’s dilation theorem [72].

The following fundamental result for completely positive maps was proved independently by Choi [15] and Kraus [52]. We present Choi’s short operator proof.

**Theorem 4.2.** Let  $\mathcal{E} : \mathcal{B}(\mathcal{H}_N) \rightarrow \mathcal{B}(\mathcal{H}_N)$  be a completely positive map. Then there are operators  $E_k \in \mathcal{B}(\mathcal{H}_N)$ ,  $1 \leq k \leq N^2$ , such that

$$\mathcal{E}(\rho) = \sum_{k=1}^{N^2} E_k \rho E_k^\dagger \quad \text{for all } \rho \in \mathcal{B}(\mathcal{H}_N). \quad (1)$$

**Proof.** Let  $e_{ij} = |i\rangle\langle j|$  be the matrix units associated with the standard basis for  $\mathcal{H}_N$ . Let  $R = \mathcal{E}^{(N)}(e_{ij})$ . This matrix is positive by the  $N$ -positivity of  $\mathcal{E}$ . (In fact, Choi proved that the positivity of  $R$  characterizes complete positivity of  $\mathcal{E}$ .) Consider a decomposition  $R = \sum_{k=1}^{N^2} |a_k\rangle\langle a_k|$ , where  $|a_k\rangle \in \mathbb{C}^{N^2}$  are (appropriately normalized) eigenvectors for  $R$ . Let  $\{P_i : 1 \leq i \leq N\}$  be the family of rank  $N$  projections on  $\mathbb{C}^{N^2}$  which have mutually orthogonal ranges and satisfy  $P_i R P_j = \mathcal{E}(e_{ij})$ . Then  $|a_k\rangle = \sum_{i=1}^N P_i |a_k\rangle$ . Define operators  $E_k : \mathbb{C}^N \rightarrow \mathbb{C}^N$  by  $E_k |i\rangle \equiv P_i |a_k\rangle$ , so that

$$R = \sum_k \sum_{i,j} P_i |a_k\rangle\langle a_k| P_j = \sum_{i,j} P_i \left( \sum_k E_k |i\rangle\langle j| E_k^\dagger \right) P_j.$$

Hence,

$$\mathcal{E}(e_{ij}) = \mathcal{E}(|i\rangle\langle j|) = P_i R P_j = \sum_{k=1}^{N^2} E_k |i\rangle\langle j| E_k^\dagger,$$

and Eq. (1) holds by the linearity of  $\mathcal{E}$ .  $\square$

The decomposition (1) is referred to as the *operator-sum representation* of  $\mathcal{E}$  in quantum information theory. The operators  $E_k$  are called the *noise operators* or *errors* of the channel. Note that trace preservation of a channel is equivalent to its noise operators  $E_k$  satisfying

$$\sum_k E_k^\dagger E_k = \mathbb{I}.$$



**Remark 4.3.** Choi’s proof of this theorem provided the impetus for a recent application of Leung [56] to ‘quantum process tomography’. This is a procedure by which an unknown quantum channel can be fully recovered from experimental data. To accomplish this for a given channel, (1) shows that it is enough to recover the noise operators  $E_i$ . As Leung observes, Choi’s proof shows the only experimental data required to fully reconstruct the  $E_i$  are the output states  $\mathcal{E}(|i\rangle|j\rangle)$ . This fact is the core of the tomography procedure outlined in [56].

The following result, which we state without proof, shows precisely how different sets of noise operators for the same channel are related.

**Proposition 4.4.** *Let  $\{E_1, \dots, E_r\}$  and  $\{E'_1, \dots, E'_r\}$  be the noise operators for channels  $\mathcal{E}$  and  $\mathcal{E}'$  respectively. Then  $\mathcal{E} = \mathcal{E}'$  if and only if there is an  $r \times r$  scalar unitary matrix  $U = (u_{ij})$  such that  $E = U E'$  where  $E^t = [E_1 \cdots E_r]$  and  $(E')^t = [E'_1 \cdots E'_r]$ . In other words,*

$$E_i = \sum_{j=1}^r u_{ij} E'_j \quad \text{for } 1 \leq i \leq r.$$

Let  $\mathcal{A} = \text{Alg}\{E_i, E_i^\dagger\}$  be the algebra generated by the  $E_i$  and  $E_i^\dagger$ . This is the set of polynomials in the  $E_i$  and  $E_i^\dagger$ . An application of the Cayley–Hamilton theorem on minimal polynomials from linear algebra shows that all such polynomials may be written as polynomials with degree below some global bound. In quantum computing,  $\mathcal{A}$  is called the *interaction algebra* for the channel. It is  $\dagger$ -closed by definition, hence it is a finite dimensional  $C^*$ -algebra [3,17,73]. Observe that, as a direct consequence of the previous result,  $\mathcal{A}$  can be seen to be a relic of the channel; in other words, it is independent of the choice of noise operators which satisfy (1) for the channel. This is most succinctly seen in the case of unital channels, see Section 6 for details.

**Note 4.5.** We mention that an interesting and highly active area of current research in quantum information theory revolves around the study of quantum channel capacities. Specifically, there are a number of deep mathematical problems which are concerned with computing the capacity of a quantum channel to carry classical or quantum information. The following references give a starting point into the literature [8,21,34–36,39,40,44,53,58,65,66,79].

Note that the quantum measurement process (postulate (iii)) naturally determines a quantum channel. Let us consider more specific examples. (The final section includes further examples.)

**Example 4.6.** (i) Let  $0 < p < 1$  and define operators on  $\mathcal{H}_2$  by

$$E_1 = (\sqrt{1-p})\mathbb{1}_2, \quad E_2 = (\sqrt{p})X,$$

with respect to  $\{|0\rangle, |1\rangle\}$ . The *bit flip* channel  $\mathcal{E}(\rho) = E_1\rho E_1^\dagger + E_2\rho E_2^\dagger$  flips the state  $|0\rangle$  to  $|1\rangle$  and vice versa with probability  $p$ . For instance, observe that the projection  $|0\rangle\langle 0|$  evolves to the superposition  $\mathcal{E}(|0\rangle\langle 0|) = (1-p)|0\rangle\langle 0| + p|1\rangle\langle 1|$ .

(ii) Let  $E_1 = \frac{\mathbb{1}_2}{2}$  and define a channel by  $\mathcal{E}(\rho) = E_1\rho E_1 + \sigma_x\rho\sigma_x + \sigma_y\rho\sigma_y + \sigma_z\rho\sigma_z$ . Observe that  $\mathcal{E}(\rho) = \frac{\mathbb{1}_2}{2}$  for every density operator  $\rho \in \mathcal{M}_2$ . This property, distinct density matrices evolving in a channel to the same density matrix, has recently been exploited as part of a scheme for quantum cryptography [5].

(iii) Let  $0 < r < 1$  and define operators on  $\mathcal{H}_2$  by

$$E_1 = \begin{pmatrix} 1 & 0 \\ 0 & \sqrt{1-r} \end{pmatrix}, \quad E_2 = \begin{pmatrix} 0 & \sqrt{r} \\ 0 & 0 \end{pmatrix}.$$

These noise operators define an *amplitude damping* channel  $\mathcal{E}(\rho) = E_1\rho E_1^\dagger + E_2\rho E_2^\dagger$ . Such channels characterize energy dissipation within a quantum system.

(iv) Let  $U_1, \dots, U_d$  be unitaries which act on a common Hilbert space and let  $r_1, \dots, r_d$  be positive scalars such that  $\sum_i r_i = 1$ . Then we may define a channel by  $\mathcal{E}(\rho) = \sum_{i=1}^d r_i U_i \rho U_i^\dagger$ . These are the prototypical examples of ‘unital’ ( $\mathcal{E}(\mathbb{1}) = \mathbb{1}$ ) channels (see Section 6). In fact, every unital channel on  $\mathcal{M}_2$  may be written as a convex sum of unitaries in this way. This is not the case in higher dimensions however.

(v) The class of *entanglement breaking channels* was introduced in [35] and studied in [39]. These are quantum channels which can be written in the form

$$\mathcal{E}(\rho) = \sum_k |\psi_k\rangle\langle\psi_k| \langle\phi_k|\rho|\phi_k\rangle,$$

for some vectors  $|\psi_k\rangle$  and  $|\phi_k\rangle$ . With this representation, trace preservation is equivalent to  $\sum_k |\phi_k\rangle\langle\phi_k| = \mathbb{1}$ , as  $\text{Tr}(\rho|\phi_k\rangle\langle\phi_k|) = \langle\phi_k|\rho|\phi_k\rangle$ . Such channels derive their name from the fact that for  $d \geq 1$ , a density operator  $\mathcal{E}^{(d)}(T)$  is never entangled, even if  $T$  was initially entangled.

## 5. Quantum error correction

In this section we present the central aspects of the ‘standard model’ for quantum error detection and correction. For an extensive introduction to the subject we point the reader to the articles [28,47,64]. The general error correction problem in quantum computing is much more delicate when compared to error correction in classical computing. The possible errors that can occur include all possible unitary matrices, whereas in classical computing the only errors are bit flips. Nonetheless, methods have been (and are being) developed for quantum error correction.

### 5.1. Error detection

Let  $\mathcal{H}$  be the Hilbert space for a given quantum system. Then a *quantum code*  $\mathcal{C}$  on  $\mathcal{H}$  is a subspace of  $\mathcal{H}$ . Let  $P_{\mathcal{C}}$  be the projection of  $\mathcal{H}$  onto  $\mathcal{C}$ . Then  $P_{\mathcal{C}}$  and  $P_{\mathcal{C}}^\perp$  describe a measurement of the system which can be used to determine if a given

state  $|\psi\rangle \in \mathcal{H}$  belongs to the code. The basic idea of an error-detection scheme in this setting is to first prepare an initial state in  $\mathcal{C}$ , for brevity let us restrict ourselves to unit vectors  $|\psi\rangle$  in  $\mathcal{C}$ . The state  $|\psi\rangle\langle\psi|$  is then transmitted through the quantum channel  $\mathcal{E}$  of interest, evolving to  $\mathcal{E}(|\psi\rangle\langle\psi|)$ . Finally, the measurement  $P_{\mathcal{C}}, P_{\mathcal{C}}^{\perp}$  is performed on this final state. This motivates the following definition.

**Definition 5.1.** Let  $\mathcal{C}$  be a quantum code on  $\mathcal{H}$  and let  $E$  be an error (noise) operator associated with a given quantum channel on  $\mathcal{H}$ . Then  $\mathcal{C}$  detects the error  $E$  if the states which are accepted after  $E$  acts are unchanged, up to a scaling factor. In other words, there is a scalar  $\lambda_E$  such that

$$P_{\mathcal{C}}E|\psi\rangle = \lambda_E|\psi\rangle \text{ for all } |\psi\rangle \in \mathcal{C}.$$

Observe that the set of error operators  $E$  which are detectable for a fixed quantum code  $\mathcal{C}$  form a subspace of operators, or a so-called operator space [62]. There are a number of equivalent conditions for detectable errors.

**Theorem 5.2.** Let  $\mathcal{C}$  be a quantum code and let  $E$  be an error operator associated with a given quantum channel. Then the following conditions are equivalent:

- (i)  $E$  is detectable by  $\mathcal{C}$ , with scaling factor  $\lambda_E$ .
- (ii)  $P_{\mathcal{C}}EP_{\mathcal{C}} = \lambda_E P_{\mathcal{C}}$ .
- (iii)  $\langle\psi_1|E|\psi_2\rangle = \lambda_E\langle\psi_1|\psi_2\rangle$  for all  $|\psi_i\rangle \in \mathcal{C}, i = 1, 2$ .
- (iv) For every pair of vectors  $|\psi_1\rangle$  and  $|\psi_2\rangle$  in  $\mathcal{C}$  which are orthogonal, the vectors  $E|\psi_1\rangle$  and  $E|\psi_2\rangle$  are orthogonal.

**Proof.** We shall prove the implication (iv)  $\Rightarrow$  (iii). The other directions are either trivial or easy to see. We may clearly assume that  $\dim \mathcal{C} \geq 2$ . Let  $\{|\psi_1\rangle, |\psi_2\rangle, \dots\}$  be an orthonormal basis for  $\mathcal{C}$ . We first claim that (iv) implies

$$\langle\psi_i|E|\psi_i\rangle = \langle\psi_j|E|\psi_j\rangle \text{ for all } i, j. \quad (2)$$

Indeed, to see this, fix  $i, j$  and define

$$|+\rangle = |\psi_i\rangle + |\psi_j\rangle \quad \text{and} \quad |-\rangle = |\psi_i\rangle - |\psi_j\rangle.$$

Then by (iv) we have

$$0 = \langle+|E|-\rangle = \langle\psi_i|E|\psi_i\rangle - \langle\psi_j|E|\psi_j\rangle.$$

Thus define  $\lambda_E = \langle\psi_i|E|\psi_i\rangle$ , and note that this is independent of  $i$ . Now let  $|\psi\rangle = \alpha_1|\psi_1\rangle + \alpha_2|\psi_2\rangle + \dots$  and  $|\phi\rangle = \beta_1|\psi_1\rangle + \beta_2|\psi_2\rangle + \dots$  be vectors in  $\mathcal{C}$ . Then by (iv) and (2) we have

$$\langle\psi|E|\phi\rangle = \sum_{i,j} \alpha_i \bar{\beta}_j \langle\psi_i|E|\psi_j\rangle = \sum_i \alpha_i \bar{\beta}_i \langle\psi_i|E|\psi_i\rangle = \lambda_E \langle\psi|\phi\rangle,$$

and this completes the proof.  $\square$

Let us describe a matrix perspective for detectable errors. This can be seen through a simple example.

**Example 5.3.** Let  $\mathcal{C}$  be the quantum code given by

$$\mathcal{C} = \text{span}\{|000\rangle, |111\rangle\} \subseteq \mathcal{H}_8.$$

The (unnormalized) error operators for the  $n$ -qubit depolarizing channel [61,44] are  $\mathfrak{E} = \{\mathbb{1}_{2^n}, Z_1, \dots, Z_n\}$ . In the 3-qubit case consider the error operator  $E \equiv Z_1$ . Observe that  $E|000\rangle = |000\rangle$  whereas  $E|111\rangle = -|111\rangle$ . Thus if  $E$  was detectable by  $\mathcal{C}$  we would have,

$$\lambda_E = \langle 000|E|000\rangle = 1 \quad \text{and} \quad \lambda_E = \langle 111|E|111\rangle = -1.$$

From this contradiction it follows that none of the depolarizing errors  $Z_k$  are detectable by the code  $\mathcal{C}$ . In fact, from Theorem 5.2 the detectable errors for  $\mathcal{C}$  may be realized in matrix form as the operator space  $\left\{ \begin{pmatrix} \lambda \mathbb{1}_2 & * \\ * & * \end{pmatrix} : \lambda \in \mathbb{C} \right\}$ , with respect to an ordered orthonormal basis for  $\mathcal{H}_8$  of the form  $\{|000\rangle, |111\rangle, \dots\}$ , and where the  $*$  entries indicate that any choice is admissible.

More generally, the conditions of Theorem 5.2 show that the detectable errors for a given quantum code  $\mathcal{C}$  form the subspace of operators

$$\left\{ \begin{pmatrix} \lambda \mathbb{1} & * \\ * & * \end{pmatrix} : \lambda \in \mathbb{C} \right\},$$

where the matrix form is given with respect to the spatial decomposition  $\mathcal{H} = P_{\mathcal{C}}\mathcal{H} \oplus P_{\mathcal{C}}^{\perp}\mathcal{H}$ .

## 5.2. Error correction

Let  $\mathfrak{E} = \{E_i\}$  be a set of errors that act as noise operators for a given quantum channel. If  $\mathcal{C}$  is a quantum code, then the basic error-correction problem for  $\mathcal{C}$  is to determine when there is a decoding procedure for  $\mathcal{C}$  such that all the errors in  $\mathfrak{E}$  are corrected. The simplest possible case occurs when every error  $E_i$  is the multiple of a unitary operator and the subspaces  $E_i\mathcal{C}$  are mutually orthogonal. The obvious decoding procedure in this situation is to first make a projective measurement to determine which of the subspaces  $E_i\mathcal{C}$  a given state  $|\psi\rangle \in \mathcal{C}$  has evolved to, then apply the inverse of the error operator  $E_i$ . This particular case motivates the following general definition in the standard model for quantum error correction.

**Definition 5.4.** Let  $\mathcal{E}$  be a quantum channel and let  $\mathcal{C}$  be a quantum code with projection  $P_{\mathcal{C}}$ . Then  $\mathcal{C}$  is *correctable* for  $\mathcal{E}$  if there is a quantum channel  $\mathcal{R}$  such that

$$\mathcal{R} \circ \mathcal{E}(\rho) = \rho$$

for all  $\rho$  supported on  $\mathcal{C}$ ; that is, all  $\rho$  with  $\rho = P_{\mathcal{C}}\rho P_{\mathcal{C}}$ .

There are a number of useful characterizations of correctable codes. Note that in the proof of (iii)  $\Rightarrow$  (i) below, the error correction operation  $\mathcal{R}$  is explicitly constructed and hence this gives a constructive approach for decoding within this error correction model.

**Theorem 5.5.** *Let  $\mathcal{E}$  be a quantum channel with errors  $\mathfrak{E} = \{E_i\}$  and let  $\mathcal{C}$  be a quantum code with projection  $P_{\mathcal{C}}$ . Then the following conditions are equivalent:*

- (i)  $\mathcal{C}$  is correctable for  $\mathcal{E}$ .
- (ii) The operators in the set  $\mathfrak{E}^\dagger \mathfrak{E} = \{E_1^\dagger E_2 : E_i \in \mathfrak{E}\}$  are detectable by  $\mathcal{C}$ .
- (iii) There are scalars  $\Lambda = (\lambda_{ij})$  such that

$$P_{\mathcal{C}} E_i^\dagger E_j P_{\mathcal{C}} = \lambda_{ij} P_{\mathcal{C}} \text{ for all } i, j. \quad (3)$$

- (iv) There is a linear transformation  $E_i \mapsto E'_i$  on  $\mathfrak{E}$  such that the new error operators  $E'_i$  satisfy the following properties:
  - (a) The subspaces  $E'_i \mathcal{C}$  are mutually orthogonal.
  - (b) The restriction of every  $E'_i$  to  $\mathcal{C}$  is proportional to a restriction to  $\mathcal{C}$  of a unitary operator.

**Proof.** Observe that any matrix  $\Lambda$  which satisfies (3) must be positive since this equation may be written as a matrix product  $A^\dagger A = (\lambda_{ij} P_{\mathcal{C}})$  where  $A = [E_1 P_{\mathcal{C}} \ E_2 P_{\mathcal{C}} \ \dots]$  is a row matrix. Conditions (ii) and (iii) are equivalent by definition. We shall prove (i) is necessary and sufficient for (iii) and leave the connection with (iv) for the interested reader.

For (i)  $\Rightarrow$  (iii), let  $\mathcal{C}$  be a quantum code with code projection  $P_{\mathcal{C}}$ . Suppose  $\mathcal{E}$  is a quantum channel with errors  $\{E_i\}$  and that  $\mathcal{C}$  is correctable for  $\mathcal{E}$  via the error-correction operation  $\mathcal{R}$  with noise operators  $\{R_j\}$ . Define a compressed channel by  $\mathcal{E}_{\mathcal{C}}(\rho) \equiv \mathcal{E}(P_{\mathcal{C}} \rho P_{\mathcal{C}})$ . Then by hypothesis  $\mathcal{R}(\mathcal{E}_{\mathcal{C}}(\rho)) = \mathcal{R}(\mathcal{E}(P_{\mathcal{C}} \rho P_{\mathcal{C}})) = P_{\mathcal{C}} \rho P_{\mathcal{C}}$ . In particular,

$$\sum_{i,j} R_j E_i P_{\mathcal{C}} \rho P_{\mathcal{C}} E_i^\dagger R_j^\dagger = P_{\mathcal{C}} \rho P_{\mathcal{C}} \quad \text{for all } \rho.$$

Thus by Proposition 4.4 there are scalars  $\alpha_{ki}$  such that

$$R_k E_i P_{\mathcal{C}} = \alpha_{ki} P_{\mathcal{C}} \quad \text{for all } i, k.$$

Hence,

$$P_{\mathcal{C}} E_i^\dagger R_k^\dagger R_k E_j P_{\mathcal{C}} = \bar{\alpha}_{ki} \alpha_{kj} P_{\mathcal{C}} \quad \text{for all } i, j, k. \quad (4)$$

But  $\mathcal{R}$  preserves traces, so that  $\sum_k R_k^\dagger R_k = \mathbb{1}$ , and thus when we sum (4) over  $k$  we find

$$P_{\mathcal{C}} E_i^\dagger E_j P_{\mathcal{C}} = \lambda_{ij} P_{\mathcal{C}} \quad \text{for all } i, j,$$

where  $\lambda_{ij} = \sum_k \bar{\alpha}_{ki} \alpha_{kj}$ .

Conversely, let us assume that  $\mathcal{E}$  is a channel with errors  $\{E_i\}$  and  $\mathcal{C}$  is a code with projection  $P_{\mathcal{C}}$  such that (3) holds for a positive scalar matrix  $\Lambda = \{\lambda_{ij}\}$ . Let  $U$  be a unitary operator such that  $D = U^\dagger \Lambda U = (d_{kl})$  is diagonal (so that  $D = \text{diag}(d_{kk})$ ). Note that  $\sum_k d_{kk} = 1$  by trace preservation of  $\mathcal{E}$ . By Proposition 4.4 the operators  $F_k = \sum_i u_{ik} E_i$  also implement  $\mathcal{E}$ . A simple computation shows that

$$P_{\mathcal{C}} F_k^\dagger F_l P_{\mathcal{C}} = d_{kl} P_{\mathcal{C}} \quad \text{for all } k, l.$$

The polar decomposition of  $F_k P_{\mathcal{C}}$  gives

$$F_k P_{\mathcal{C}} = U_k \sqrt{P_{\mathcal{C}} F_k^\dagger F_k P_{\mathcal{C}}} = \sqrt{d_{kk}} U_k P_{\mathcal{C}}$$

for some unitary  $U_k$ . Define projections  $P_k \equiv U_k P_{\mathcal{C}} U_k^\dagger$ . Then

$$P_l P_k = P_l^\dagger P_k = \frac{U_l P_{\mathcal{C}} F_l^\dagger F_k P_{\mathcal{C}} U_k^\dagger}{\sqrt{d_{ll} d_{kk}}} = 0 \quad \text{for } k \neq l,$$

and hence the ranges of the  $P_k$  are mutually orthogonal.

Without loss of generality assume that  $\sum_k P_k = \mathbb{1}$  (otherwise just add the projection onto the orthogonal complement and define  $U_k = \mathbb{1}$ ). The candidate error-correction operation is defined by

$$\mathcal{R}(\rho) = \sum_k U_k^\dagger P_k \rho P_k U_k.$$

Observe that for all  $\rho$  with  $\rho = P_{\mathcal{C}} \rho P_{\mathcal{C}}$ ,

$$\begin{aligned} U_k^\dagger P_k F_l \sqrt{\rho} &= U_k^\dagger P_k^\dagger F_l \sqrt{\rho} = \frac{U_k^\dagger U_k P_{\mathcal{C}} F_k^\dagger F_l P_{\mathcal{C}} \sqrt{\rho}}{\sqrt{d_{kk}}} \\ &= \delta_{kl} \sqrt{d_{kk}} P_{\mathcal{C}} \rho = \delta_{kl} \sqrt{d_{kk}} \rho. \end{aligned}$$

Thus we have

$$\mathcal{R}(\mathcal{E}(\rho)) = \sum_{k,l} U_k^\dagger P_k F_l \rho F_l^\dagger P_k U_k = \sum_{k,l} \delta_{kl} d_{kk} \rho = \rho$$

for all  $\rho = P_{\mathcal{C}} \rho P_{\mathcal{C}}$ , and we have proved that (iii)  $\Rightarrow$  (i).  $\square$

Let us discuss a pair of illustrative applications of Theorem 5.5.

**Example 5.6.** (i) With  $\mathcal{C} = \text{span}\{|000\rangle, |111\rangle\}$  inside  $\mathcal{H}_8$  as in Example 5.3, it is easy to see that  $\mathcal{C}$  satisfies (3) for the errors  $\mathcal{E} = \{\mathbb{1}, X_1, X_2, X_3\}$ . Let  $P_0$  be the projection onto  $\mathcal{C}$ , let  $P_1$  be the projection onto the subspace  $X_1 \mathcal{C} = \text{span}\{|100\rangle, |011\rangle\}$  and similarly define projections  $P_2$  and  $P_3$ . Then the correction operation given by the proof above is  $\mathcal{R} = \{P_0, X_1 P_1, X_2 P_2, X_3 P_3\}$ .

(ii) Shor's 9-qubit code [69] is defined by two orthonormal vectors in  $\mathcal{H}_{2^9}$  given by

$$|0_L\rangle = \frac{(|000\rangle + |111\rangle)(|000\rangle + |111\rangle)(|000\rangle + |111\rangle)}{2\sqrt{2}},$$

$$|1_L\rangle = \frac{(|000\rangle - |111\rangle)(|000\rangle - |111\rangle)(|000\rangle - |111\rangle)}{2\sqrt{2}}.$$

Let  $\mathcal{C} = \text{span}\{|0_L\rangle, |1_L\rangle\}$ . Given  $1 \leq k \leq 9$ , the code  $\mathcal{C}$  is correctable for the errors  $\{X_k, Y_k, Z_k\}$  as (3) is satisfied for this triple. Let  $P_{x,k}$  be the projection onto the subspace  $X_k\mathcal{C}$  and similarly define projections  $P_{y,k}$  and  $P_{z,k}$ . Then the correction operation given by the theorem is  $\mathcal{R} = \{X_k P_{x,k}, Y_k P_{y,k}, Z_k P_{z,k}\}$ .

Since the Pauli matrices, together with the identity operator  $\mathbb{1}_2$ , form a linear basis for  $\mathcal{M}_2$  that is closed under multiplication up to scalar multiples, it follows that  $\mathcal{C}$  is correctable for any set of errors  $\mathfrak{E}$  which act on one of the nine possible qubits. (In fact the Shor code also corrects for errors on multiple qubits [61].)

**Note 5.7.** The quantum error correction conditions of Theorems 5.2 and 5.5 were established independently by Bennett et al. [9] and Knill and Laflamme [50]. As a collection of entry point references for particular methods of quantum error correction we mention [14,29,30,45,46,49,54,68,71,83].

### 6. Noiseless subsystems via the noise commutant

In this section we describe a specific method of passive quantum error correction, by which we mean no active intervention is required after information is encoded. The basic idea in a ‘noiseless subsystem method’ of error correction, classical or quantum, is to encode information on subsystems of the system of interest in such a way that it remains immune to the effects of the channel that the information is evolving through. Let  $\mathcal{E} : \mathcal{B}(\mathcal{H}) \rightarrow \mathcal{B}(\mathcal{H})$  be a quantum channel with noise operators  $\{E_i\}$  and interaction algebra  $\mathcal{A} = \text{Alg}\{E_i, E_i^\dagger\}$ . Recall that  $\mathcal{E}$  is *unital* if  $\mathcal{E}(\mathbb{1}) = \sum_i E_i E_i^\dagger = \mathbb{1}$ . The *noise commutant* for  $\mathcal{E}$  is the  $\dagger$ -algebra

$$\begin{aligned} \mathcal{A}' &= \{\rho \in \mathcal{B}(\mathcal{H}) : \rho A = A\rho \text{ for } A \in \mathcal{A}\} \\ &= \{\rho \in \mathcal{B}(\mathcal{H}) : [\rho, E_i] = 0 = [\rho, E_i^\dagger] \text{ for } i = 1, \dots, n\}. \end{aligned}$$

The procedure in the *noiseless subsystem via noise commutant* method of quantum error correction [23,26,32,47,48,57,81,82] is to use the structure of  $\mathcal{A}'$  to produce noiseless subsystems (which are also called ‘decoherence-free subspaces’ in special cases, see Remark 6.3).

The illustrations of this method that appear in the literature all involve unital channels. The following discussion shows why this is the case. Let  $\text{Fix}(\mathcal{E}) = \{\rho \in \mathcal{B}(\mathcal{H}) : \mathcal{E}(\rho) = \rho\}$ . Observe that  $\text{Fix}(\mathcal{E})$  is a  $\dagger$ -closed subspace of  $\mathcal{B}(\mathcal{H})$ . Now consider a unital channel  $\mathcal{E}$ . Let  $\rho$  belong to  $\mathcal{A}'$ . Then  $\rho E_i = E_i \rho$  for all  $i$  and hence

$$\mathcal{E}(\rho) = \sum_i E_i \rho E_i^\dagger = \rho \mathcal{E}(\mathbb{1}) = \rho.$$

Thus  $\rho$  belongs to  $\text{Fix}(\mathcal{E})$ . The converse inclusion was proved independently in [13] and [55] (see also [32] for another proof and [2] for the infinite dimensional extension): The set  $\text{Fix}(\mathcal{E})$  coincides with the noise commutant in the case of a unital channel. In fact, it is not hard to show that the identity  $\text{Fix}(\mathcal{E}) = \mathcal{A}'$  characterizes unital channels. Thus, by building on the proofs from [13,32,55] we may state the following.

**Theorem 6.1.** *Let  $\mathcal{E}$  be a quantum channel. Then  $\text{Fix}(\mathcal{E}) = \mathcal{A}'$  if and only if  $\mathcal{E}$  is unital. Moreover, in this case  $\mathcal{A}$  is equal to the algebra  $\mathcal{A}_0$  generated by the  $E_i$ . In other words, the operators  $E_i^\dagger$  belong to  $\mathcal{A}_0$ .*

**Note 6.2.** Notice how the algebra  $\mathcal{A} = (\mathcal{A}')' = \text{Fix}(\mathcal{E})'$  is a relic of the channel in the unital case (see the discussion after Proposition 4.4).

**Remark 6.3.** In the case of unital channels, Theorem 6.1 shows that the noiseless subsystem via noise commutant method can be presented as follows: Let  $\mathcal{E}$  be a unital quantum channel. Then the interaction algebra  $\mathcal{A}$  is generated by the  $E_i$  and  $\mathcal{A}' = \text{Fix}(\mathcal{E})$  is a finite dimensional  $C^*$ -algebra. As such,  $\mathcal{A}'$  is unitarily equivalent to a unique direct sum of amplified full matrix algebras,  $\mathcal{A}' \cong \bigoplus_k (\mathbb{I}_{m_k} \otimes \mathcal{M}_{n_k})$ . Hence, a density operator  $\rho$  that encodes the initial states of a quantum system will be immune to the noise of the channel as it evolves through,  $\mathcal{E}(\rho) = \rho$ , provided that  $\rho$  is initially prepared on one of the amplified matrix blocks  $\mathbb{I}_{m_k} \otimes \mathcal{M}_{n_k}$  inside the noise commutant  $\mathcal{A}' = \text{Fix}(\mathcal{E})$ . The decoherence-free subspace method may be regarded as the special case of this method that occurs when matrix blocks  $\mathbb{I}_{m_k} \otimes \mathcal{M}_{n_k}$  with  $m_k = 1$  are utilized.

In the case of a general quantum channel, however, the full structure of  $\mathcal{A}'$  cannot be used for error correction. This can be seen most dramatically in the following simple case.

**Proposition 6.4.** *Let  $\mathcal{E}$  be a completely positive map such that  $A_\mathcal{E} \equiv \mathcal{E}(\mathbb{I})$  is not invertible. Let  $P_\mathcal{E}$  be the projection onto the subspace  $\mathcal{H}_\mathcal{E} \equiv \text{Ran}(A_\mathcal{E})$ . Suppose that  $E_i = P_\mathcal{E} E_i P_\mathcal{E}$  for all  $i$ . Then  $\mathcal{H}_\mathcal{E}^\perp$  is non-zero and every operator  $\rho \in \mathcal{B}(\mathcal{H}_\mathcal{E}^\perp)$  belongs to  $\mathcal{A}'$  and satisfies  $\mathcal{E}(\rho) = 0$ .*

**Proof.** The non-invertibility of  $A_\mathcal{E}$  implies  $\mathcal{H}_\mathcal{E}^\perp$  is non-zero. Let  $\rho \geq 0$  belong to  $\mathcal{B}(\mathcal{H}_\mathcal{E}^\perp)$ , by which we mean  $\rho$  acts on  $\mathcal{H}$  with  $\rho = P_\mathcal{E}^\perp \rho P_\mathcal{E}^\perp$ . Then  $\rho$  trivially belongs to  $\mathcal{A}'$  since  $\rho E_i = 0 = E_i \rho$  for all  $i$ . Further,  $\mathcal{E}(\rho) = \mathcal{E}(P_\mathcal{E}^\perp \rho) = 0$ .  $\square$

**Remark 6.5.** It would be interesting to know if the noise commutant can be used to produce noiseless subsystems for classes of non-unital channels. For instance, a natural generalization of unital channels is the class of channels for which the identity evolves to a multiple of a projection. Notice that if  $\mathcal{E}(\mathbb{I}_\mathcal{H}) = mP$  for some



projection  $P$ , then  $m$  divides the dimension of  $\mathcal{H}$  by trace preservation of  $\mathcal{E}$ . A simple example of this phenomena is given by the channel  $\mathcal{E}$  with noise operators  $A_i = |0\rangle\langle i|$  for  $1 \leq i \leq \dim \mathcal{H} \equiv d$ . Trace preservation of  $\mathcal{E}$  may be readily verified, and in this case

$$\mathcal{E}(\mathbb{1}_d) = \sum_{i=1}^d A_i A_i^\dagger = \sum_{i=1}^d (|0\rangle\langle i|)(\langle i|\langle 0|) = d|0\rangle\langle 0|.$$

**Note 6.6.** There are a number of other quantum error correction schemes that have been investigated and some of these will be of interest to operator researchers (see [1,23,25,43,54,57,80–82]).

We finish by considering noiseless subsystems for some special cases of unital channels.

**Example 6.7.** (i) Let  $0 < p < 1$  and let  $E_1, E_2$  be operators on  $\mathcal{H}_2$  defined on the standard basis by  $E_1 = (\sqrt{1-p})\mathbb{1}_2$  and  $E_2 = (\sqrt{p})Z$ . Then  $E_1$  and  $E_2$  are the noise operators for a unital channel  $\mathcal{E}$  on  $\mathcal{M}_2$  which is a variant on the bit flip channel discussed earlier. The quantum operation corresponding to this channel is equivalent to the *phase flip* or *phase damping* operation on single qubits [61]. It is so named because, for instance,  $\mathcal{E}(|+\rangle\langle +|) = (1-p)|+\rangle\langle +| + p|-\rangle\langle -|$  and hence  $\mathcal{E}$  flips the phase of  $|+\rangle\langle +|$  and  $|-\rangle\langle -|$  with probability  $p$ . It is easy to see in this case that

$$\begin{aligned} \text{Fix}(\Phi) = \mathcal{A}' &= \{E_1, E_2\}' \\ &= \left\{ \begin{pmatrix} a & 0 \\ 0 & b \end{pmatrix} : a, b \in \mathbb{C} \right\} \cong \mathbb{C}\mathbf{1} \oplus \mathbb{C}\mathbf{1}, \end{aligned}$$

and hence this channel has no non-trivial noiseless subsystems.

(ii) Let  $0 < p < 1$  and let  $E_1, E_2$  be operators on  $\mathcal{H}_4$  defined on the standard basis by  $E_1 = \sqrt{1-p}(\mathbb{1}_2 \otimes \mathbb{1}_2)$  and  $E_2 = \sqrt{p}(Z \otimes Z)$ . These noise operators determine a unital channel  $\mathcal{E}$  on  $\mathcal{M}_4$  which can be regarded as an amplification of the phase flip channel. Compute

$$\begin{aligned} \text{Fix}(\Phi) = \mathcal{A}' &= \{E_1, E_2\}' \\ &= \left\{ \begin{pmatrix} a_{11} & 0 & 0 & a_{14} \\ 0 & a_{22} & a_{23} & 0 \\ 0 & a_{32} & a_{33} & 0 \\ a_{41} & 0 & 0 & a_{44} \end{pmatrix} : a_{ij} \in \mathbb{C} \right\}. \end{aligned}$$

Thus  $\mathcal{A}'$  is unitarily equivalent to the direct sum  $\mathcal{A}' \cong \mathcal{M}_2 \oplus \mathcal{M}_2$  and there is a pair of 2-dimensional noiseless subsystems.

(iii) More generally, let  $E_1 = U_1, \dots, E_d = U_d$  be unitaries on a Hilbert space  $\mathcal{H}$ . If we are given scalars  $\lambda_i$  such that  $\sum_i |\lambda_i|^2 = 1$ , then the operators  $\lambda_i E_i$  are the

noise operators for a unital channel  $\mathcal{E}$  with  $\text{Fix}(\mathcal{E}) = \mathcal{A}' = \{U_1, \dots, U_d\}'$ . In this case the automatic self-adjointness of  $\mathcal{A}$  can be seen directly through an application of the Cayley–Hamilton theorem. (The algebra generated by any unitary  $U$  can be seen to include  $U^\dagger$  via minimal polynomial considerations.)

(iv) An important special case of such channels is the class of ‘collective rotation channels’ [6,10,25,26,32,43,48,74,75,76,80,81]. The  $n$ -qubit example has noise operators given by weighted exponentiations of the operators  $J_k = \sum_{m=1}^n J_k^{(m)}$  for  $k = x, y, z$ , where  $J_k^{(1)} = \sigma_k \otimes (\mathbb{1}_2)^{\otimes(n-1)}$ , etc. There is an abundance of noiseless subsystems for these channels and they can be computed directly [33] or through combinatorial techniques discussed below.

(v) A generalization of the collective rotation class is presented in [42] and noiseless subsystems for this class of ‘universal collective rotation (ucr) channels’ are computed using Young tableaux combinatorics. For each pair of positive integers  $d, n \geq 2$  there is a family of such channels with the case  $d = 2$  yielding the class from (iv). It is proved in [42] that every ucr-channel possesses an abundance of noiseless subsystems. In fact, it is shown that the noise commutant for every channel in this class (for fixed  $d$  and  $n$ ) contains the algebra  $\mathcal{A}_\pi = \text{Alg}\{\pi(\sigma) : \sigma \in S_n\}$  where  $S_n$  is the symmetric group on  $n$  letters and  $\pi : S_n \rightarrow \mathcal{U}(d^n)$  is the unitary representation of  $S_n$  on  $\mathcal{H}_{d^n}$  given by

$$\pi(\sigma)(h_1 \otimes \dots \otimes h_n) = h_{\sigma(1)} \otimes \dots \otimes h_{\sigma(n)},$$

for all  $h_1, \dots, h_n \in \mathcal{H}_d$  and  $\sigma \in S_n$ . In particular, the Young tableaux machine can be used to explicitly compute the structure of  $\mathcal{A}_\pi$  and identify noiseless subsystems for the corresponding ucr-channel. Further, a recent paper of Bacon et al. [4], has shown that the change of basis transformation from the standard basis to the Young tableaux basis can be implemented efficiently with a quantum algorithm.

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