

Higgs Troika for Baryon Asymmetry

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To explain the baryon asymmetry of the Universe, we extend the Standard Model (SM) with two additional Higgs doublets with small vacuum expectation values. The additional Higgs fields interact with SM fermions through complex Yukawa couplings, leading to new sources of CP violation. We propose a simple flavor model with $\mathcal{O}(1)$ or less Yukawa couplings for quarks and charged leptons, consistent with current flavor constraints. To generate neutrino masses and the baryon asymmetry, right-handed neutrinos in the $\sim 0.1 - 10$ TeV range couple to the ‘‘Higgs Troika.’’ The new Higgs doublet masses could be near the TeV scale, allowing for asymmetric decays into Standard Model lepton doublets and right-handed neutrinos. The asymmetry in lepton doublets is then processed into a baryon asymmetry, similar to leptogenesis. Since the masses of the new fields are near the TeV scale, there is potentially a rich high energy collider phenomenology, including observable deviations in the 125 GeV Higgs decay into muons and taus, as well as detectable low energy signals such as the electron EDM or $\mu \rightarrow e\gamma$. Hence, this is in principle a testable model for generation of baryon asymmetry, similar in that respect to ‘‘electroweak baryogenesis.’’

INTRODUCTION

The Standard Model (SM) of particle physics has been successful in explaining a wide range of phenomena and remains valid after many years of experimental verification. Yet, the SM leaves important fundamental questions unanswered. Among these, the origin of dark matter and the source of the baryon asymmetry of the universe (BAU) - both of great importance to our understanding of cosmology and matter - remain open. While dark matter may reside in an entirely secluded ‘‘dark sector,’’ it is reasonable to expect that the physics underlying the BAU must have direct and perhaps significant interactions with the SM and is part of the ‘‘visible sector.’’

In this work, we propose to extend the SM content with two additional Higgs fields, copies of the SM Higgs, with small vacuum expectation values (vevs). While these fields will have a marginal role in electroweak symmetry breaking (EWSB), they could have significant complex-valued couplings to the SM fermions and provide new sources of CP violation. We will show that this setup is then capable of accommodating a baryogenesis mechanism, as long as the new Higgs masses are at or above the TeV scale.

Our basic mechanism is in spirit similar to leptogenesis [1], however we do not require heavy right-handed neutrinos ν_R far above the weak scale, whose role will be assigned to the new Higgs scalars here. We will choose right-handed neutrino masses in the $\sim 0.1-10$ TeV range to implement our scenario. Our proposal is a minimal

realization of ‘‘neutrino genesis’’ [2, 3]. The SM extended to include a ‘‘Higgs Troika’’ can then explain the origin of visible matter and the masses of fundamental particles. This setup can be potentially testable at colliders in the future, perhaps even at high luminosity LHC (HL-LHC) with $\mathcal{O}(\text{ab}^{-1})$ levels of data, expected to be available in the coming years.

Next we will briefly outline our mechanism and describe the main ingredients and assumptions underlying our proposal. We will then illustrate the mechanism in a benchmark realization of the model and provide some quantitative estimates. A brief discussion of the benchmark collider phenomenology will also be given, in order to highlight some of the key features of the possible signals. For some related ideas in a different context, see Refs. [4, 5].

THE BARYOGENESIS MECHANISM

Here, we briefly describe the general features of the baryogenesis mechanism. Let us denote the Higgs fields by H_a with masses m_a , $a = 1, 2, 3$. We will identify H_1 as the observed (‘‘SM’’) Higgs with $m_1 \approx 125$ GeV: $H_1 \leftrightarrow H_{\text{SM}}$. This implies that H_1 has the same Yukawa interactions as the SM Higgs and generates the known masses of fermions. Also, it is implicitly assumed that new interactions of H_1 with other scalars are sufficiently small to avoid significant deviations from the SM predictions for the main Higgs production and decay modes. To make contact with potential experimental searches, we will generally assume that $m_{2,3} \sim 1$ TeV (this mass scale may also originate from the physics underlying the SM Higgs sector, though we will not dwell on this point further).

To generate an asymmetry ε , we need at least two dif-

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ferent decay channels for the new scalars. We will specify those interactions later, however, here we will only mention that one of the channels is $\bar{L}\nu_R$ (which we will refer to as “neutrinos”), with L a lepton doublet in the SM. Although ν_R is a lepton, it is a gauge singlet. Hence, *sphalerons* will not operate on ν_R . The relevant non-zero $\Delta(B - L)$ is then for quark and lepton doublets; where B is baryon number and L is lepton number. That is, sphalerons will not act on an asymmetry in ν_R nor alter the baryon asymmetry generated via the lepton doublets [2, 3]. The other channel is provided by coupling to SM charged fermions. The asymmetry requires a non-zero CP violating phase to remain in the interference of tree and 1-loop diagrams; this in turn requires at least two Higgs scalars that couple to leptons and quarks, implying that we at least need H_2 . Below, we will illustrate why we also need H_3 , on general grounds.

Let us denote a typical Higgs coupling to $\bar{L}\nu_R$ by λ_a^ν and to charged fermions by λ_a^f . For concreteness and simplicity, we will assume that the asymmetry is dominated by the f intermediate fermion, but width of H_2 is set by decays into the fermion f' . This assumption implies λ_2^f is the dominant Yukawa coupling of H_2 ; we consider this a fairly generic assumption. The asymmetry, as will be discussed later in more detail, is typically then given by

$$\varepsilon \sim \frac{\lambda_1^\nu \lambda_1^f \lambda_2^\nu \lambda_2^f}{8\pi (\lambda_2^{f'})^2}. \quad (1)$$

We are interested in obtaining $\varepsilon \gtrsim 10^{-9}$, as dictated by the BAU [6]

$$\frac{n_B}{s} \approx 9 \times 10^{-11}, \quad (2)$$

where n_B is the baryon number density and s is the entropy density.

Here, we note that the success of our baryogenesis scenario requires that $2 \rightarrow 2$ processes $Ff \rightarrow L\nu_R$, where F is an $SU(2)_L$ doublet and f an $SU(2)_L$ singlet, through the interactions of H_1 should not washout the generated $\Delta(B - L)$. This requirement should be maintained down to a temperature of $T_* \sim 100$ GeV, below which EWSB takes place. Above that temperature all SM fields, except the Higgs, can be assumed to be massless. Hence, the rate for washout at $T = T_*$ is roughly given by $\Gamma_* \sim (\lambda_1^\nu \lambda_1^f)^2 T_*$. Requiring that $\Gamma_* \lesssim H(T_*)$, where $H(T) \approx g_*^{1/2} T^2 / M_P$ is the Hubble scale, one finds

$$\lambda_1^\nu \lambda_1^f \lesssim \left(\frac{g_*^{1/2} T_*}{M_P} \right)^{1/2}, \quad (3)$$

where $g_* \sim 100$ denotes relativistic degrees of freedom and $M_P \approx 1.2 \times 10^{19}$ GeV is the Planck mass. The above yields $\lambda_1^\nu \lambda_1^f \lesssim 10^{-8}$.

To generate the asymmetry parameter in Eq. (1), there are three interesting cases for the relative strengths of the different H_2 couplings:

1. First consider $\lambda_2^\nu \lambda_2^f \ll (\lambda_2^{f'})^2$. The washout bound then implies $\varepsilon \ll 4 \times 10^{-10}$, which suggests that baryogenesis is not feasible.
2. Next, $\lambda_2^\nu \ll \lambda_2^f \sim \lambda_2^{f'}$. The washout bound together with $\varepsilon \gtrsim 10^{-9}$ then implies that

$$\lambda_2^\nu \gtrsim 2.8 \lambda_2^f. \quad (4)$$

This bound is inconsistent with our starting assumption implying that a baryon asymmetry cannot be generated with this hierarchy of couplings. The results are similar for $\lambda_2^f \ll \lambda_2^\nu \sim \lambda_2^{f'}$.

3. Finally, assume all couplings are similar $\lambda_2^f \sim \lambda_2^\nu \sim \lambda_2^{f'}$. The washout bound implies that $\varepsilon \lesssim 4 \times 10^{-4}$. That is, baryogenesis is still not feasible.

This conclusion leads us to require a third Higgs doublet field H_3 , to avoid reliance on a light H_1 , whose interactions are constrained.

Successful baryogenesis requires that the reheat temperature T_{rh} , here assumed to be set by the decay of a modulus Φ , is low enough that $2 \rightarrow 2$ washout processes mediated by H_a , $a = 2, 3$, are also inefficient. Note however that we need $T_{rh} > 100$ GeV to have effective electroweak sphaleron processes that are required to provide a source of baryon number violation. Since $T_{rh} < m_a$, for out of equilibrium decay of H_a , the rate for this process is of order $(\lambda_a^f \lambda_a^\nu)^2 T_{rh}^5 / m_a^4$. This production rate must be less than the Hubble scale $H(T_{rh})$. We thus obtain

$$(\lambda_a^f \lambda_a^\nu)^2 \lesssim \frac{g_*^{1/2} m_a^4}{M_P T_{rh}^3}; \quad (\text{no washout}). \quad (5)$$

For $T_{rh} \gtrsim 100$ GeV and $m_a \sim 1$ TeV, we roughly obtain $\lambda_a^f \lambda_a^\nu \lesssim 10^{-6}$. Note that this constraint is much less stringent than the one obtained for H_1 before, which could in principle allow a large enough value of ε , using H_2 and H_3 .

Before going further, we will point out an issue that will inform our benchmark model parameter choices later in this work. The light neutrino masses m_ν are generated via integrating out the heavy Majorana neutrinos to create the Weinberg operator:

$$(\lambda_1^\nu)^2 \frac{(LH_1)^2}{m_R}. \quad (6)$$

The expression for m_ν is given by

$$m_\nu \sim \frac{(\lambda_1^\nu)^2 v_{EW}^2}{2 m_R}, \quad (7)$$

where $v_{EW} = 246$ GeV. Eq. (3) for $f = t$ (the top quark, with $\lambda_t \approx 1$), leads to $\lambda_1^\nu \lesssim 10^{-8}$. Assuming $m_\nu \sim 0.1$ eV, we then find

$$m_R \lesssim 10 \text{ MeV}. \quad (8)$$

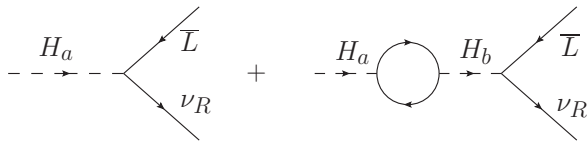


FIG. 1: Representative tree level and one-loop diagrams that can give rise to a lepton asymmetry.

The above bound on m_R is in conflict with our assumption that the new physics, including ν_R , is at or somewhat above the weak scale. We will address this question later, showing that certain choices of parameters in the minimal model can avoid this conflict. Briefly put, the resolution will amount to the minimal assumption that there are only two massive SM neutrinos around ~ 0.1 eV and that the third eigenstate could be much lighter and nearly massless, given the current state of knowledge of neutrino parameters.

Let us now briefly outline how the above Troika of Higgs fields can lead to a viable baryogenesis mechanism. We will assume that a population of (H_3, H_3^*) is produced non-thermally, such as through the modulus Φ decay in the early Universe, but no significant population of (H_2, H_2^*) is present; this could be a result of preferential Φ decay (see for example, Ref. [7], for such a possibility in a different model). The CP violating decays of H_3 then generate a non-zero $B - L$ number from $H_3 \rightarrow \bar{L}\nu_R$. The asymmetry $\Delta(B - L)$ can get processed into a ΔB and ΔL through electroweak sphaleron processes that are active at temperatures $T \gtrsim 100$ GeV.

THE GENERAL MODEL

Here we will introduce the general structure of the model that could realize the above baryogenesis mechanism. We will not write down all the possible interactions that the model could contain and only specify those that are key for our discussions. To generate the BAU in the manner described above, let us consider the following Yukawa interactions for the Higgs Troika

$$\lambda_a^u \tilde{H}_a^* \bar{Q} u + \lambda_a^d H_a^* \bar{Q} d + \lambda_a^\nu \tilde{H}_a^* \bar{L} \nu_R + \lambda_a^\ell H_a^* \bar{L} \ell, \quad (9)$$

where a labels the Higgs scalars, but the implicit fermion generation indices have been suppressed. In the above, λ_a^u and λ_a^d denote couplings associated with the up-type and down-type quarks; the corresponding couplings to neutrinos and charged leptons are denoted by λ_a^ν and λ_a^ℓ .

Let us focus on $a = 2, 3$. The $\Delta(B - L)$ asymmetry ε produced in the out-of-equilibrium decay of H_a is then given by

$$\varepsilon \equiv \frac{\Gamma(H_a \rightarrow \bar{L}\nu_R) - \Gamma(H_a^* \rightarrow \bar{\nu}_R L)}{2\Gamma(H_a)}, \quad (10)$$

where $\Gamma(H_a)$ is the total width of H_a . The above is obtained from the interference of the tree and loop-level diagrams in Fig.1. A second “triangle” loop diagram is in general present in our model, but the “bubble” loop diagram gets enhanced if the heavy Higgs states H_2 and H_3 are degenerate in mass (similar arguments apply to heavy right-handed neutrinos in leptogenesis; see for example Refs. [8–10]).

In this work, we will consider the case where the heavy Higgs bosons H_2 and H_3 are mildly degenerate and hence we can mostly ignore the “triangle” contribution to the asymmetry in Fig.1. Additionally, since our calculation of the baryon asymmetry is an order of magnitude estimation, this approximation is sufficient to show the viability of our mechanism. For complete expressions see Ref. [2]. This assumption simplifies the treatment and also leads to potentially richer collider phenomenology, as both H_2 and H_3 can, in principle, be experimentally accessed. In this case, the model will yield more easily to direct experimental verification, similar in spirit to “electroweak baryogenesis.”

From Eq. (9), we find

$$\varepsilon = \frac{1}{8\pi} \sum_{b \neq a} \frac{m_a^2}{m_b^2 - m_a^2} \frac{\sum_{f=\ell,u,d} N_{c,f} \text{Im}(\text{Tr}_{ba}^\nu \text{Tr}_{ba}^{f*})}{\sum_{f=\ell,u,d,\nu} N_{c,f} \text{Tr}_{aa}^f} \quad (11)$$

where

$$\text{Tr}_{ba}^f = \text{Tr} \left[\lambda_b^{f\dagger} \lambda_a^f \right], \quad (12)$$

$$\text{Tr}_{ba}^\nu = \text{Tr} \left[\lambda_b^{\nu\dagger} \lambda_a^\nu (1 - m_R^2/m_a^2)^2 \right], \quad (13)$$

m_R are the masses of the right-handed neutrinos, and $N_{c,f} = 1, 3$ for $f = \text{lepton, quark}$, respectively. The trace in Eqs. (12,13) is over fermion generation and we are working in the basis in which m_R are diagonal mass matrices. Note that $m_f = 0$ during the epoch where ε is set, since electroweak symmetry is not broken at that point. As these are traces, the asymmetry parameter in Eq. (11) can be evaluated in any fermion basis.

In order to find the baryon asymmetry ΔB , we need to find the relationship between $\Delta(B - L)$ and ΔB in our model, at $T \gtrsim 100$ GeV. We note that our setup is that of the SM augmented by two new doublets, however the new doublets are assumed heavy compared to T_{rh} and the relevant field content is that of the SM only. Also, the processes involving ν_R are decoupled at these temperatures, as a requirement in our scenario. Using the results of Ref. [11] we then have

$$\Delta B = \frac{28}{79} \Delta(B - L). \quad (14)$$

We will focus on H_3 decays, and only consider an intermediate H_2 . That is, $a = 3$ and $b = 2$ in Eq. (11). Given that $\Delta(B - L)$ is generated through the decay of H_3 , to calculate the BAU we need to consider the initial energy

density ρ_3 of H_3 compared to the radiation energy density ρ_R . In our setup, the radiation is made up of all the SM states, including H_1 . The decays of H_3 contribute to reheating the Universe and since $m_3 n_3 \leq \rho_R$, with n_3 the number density of H_3 , the ratio

$$r \equiv \frac{m_3 n_3}{\rho_R}, \quad (15)$$

satisfies $r \leq 1$.

We have $\rho_R = (\pi^2/30)g_*T^4$, where g_* is the number of relativistic degrees of freedom, which is $g_* = 106.75$ in the SM. The $B - L$ abundance is then given by

$$\frac{n_{B-L}}{s} = \frac{3r T_{rh} \varepsilon}{4m_3}, \quad (16)$$

where the entropy density $s = (2\pi^2/45)g_*T^3$. Using Eq. (14) we then obtain for the BAU

$$\frac{n_B}{s} = \frac{21}{79} \left(\frac{r T_{rh} \varepsilon}{m_3} \right). \quad (17)$$

For $T_{rh}/m_3 \lesssim 0.1$ and $r \leq 1$, one then requires $\varepsilon \gtrsim 10^{-9}$ in order to accommodate the BAU. For $m_2 \gtrsim m_3$ and assuming $\mathcal{O}(1)$ phases, Eq. (11) suggests that this would roughly require that some of the the fermion couplings $\lambda^f \gtrsim 10^{-3}$, which is not a stringent requirement.

If the generic phases are not large, $m_2 \gg m_3$, or there is a hierarchy of couplings, generation of a sufficiently large BAU could in principle require the couplings of H_3 to be significant. Alternatively, it may be that m_2 is not much larger than m_3 and the couplings of H_2 are generically larger than those of H_3 . Hence, the above model could easily lend itself to collider searches. In particular, if the couplings to quarks are not too small, one of the heavy Higgs states could be produced at the LHC or a future hadron collider. Also, depending on the size of the parameters, the rate for decay into charged leptons, a final state with missing energy, or displaced vertices may be large enough to enable clean searches. While there are too many possibilities to consider, we will examine a sample benchmark parameter choice and describe the main aspects of its phenomenology, below.

A BENCHMARK MODEL OF FLAVOR

Now we give a more complete model of flavor to show our leptogenesis mechanism can work in realistic scenarios. We introduce three Higgs doublets Φ_1, Φ_2, Φ_3 . All three scalar doublets obtain vacuum expectation values $\langle \Phi_i \rangle = v_i/\sqrt{2}$. The Higgs doublets $\Phi_{2,3}$ and lepton doublets L are odd under a Z_2 symmetry while all other fields are even. The Yukawa interactions are then

$$y_1^u \tilde{\Phi}_1^* \bar{Q}u + y_1^d \Phi_1^* \bar{Q}d + \sum_{b=2,3} y_b^{\nu} \tilde{\Phi}_b^* \bar{L}\nu_R + y_b^{\ell} \Phi_b^* \bar{L}\ell. \quad (18)$$

The organizing principle for the charged fermion flavor is that the largest Yukawa coupling for quarks and charged leptons should be order one. To get the top mass correctly, we need $v_1 \approx v_{EW} = 246$ GeV. If there are no fine cancellations there must also be a hierarchy between the vevs $v_{1,2}$ in order to have order one Yukawa for τ . We would then need $v_2 \sim 2.5$ GeV for $\lambda_a^{\tau} \sim 1$, while the top quark mass is obtained from the coupling to H_1 with a Yukawa coupling near unity. Since neutrino masses and mixing are rather special and do not follow the patterns of quarks or charged leptons, we do not impose any requirement on their Yukawa couplings. In principle all neutrinos can get their masses from H_2 and one could assume $v_3 \rightarrow 0$, though this is not strictly necessary.

Next, we will illustrate how the necessary vev hierarchy can be easily obtained. Allowing for soft-breaking of the Z_2 , the relevant terms in the scalar potential are

$$-\mu^2 \Phi_1^\dagger \Phi_1 + m_2^2 \Phi_2^\dagger \Phi_2 + m_3^2 \Phi_3^\dagger \Phi_3 - \left(\mu_{12}^2 \Phi_1^\dagger \Phi_2 + \mu_{13}^2 \Phi_1^\dagger \Phi_3 + \text{h.c.} \right) + \lambda (\Phi_1^\dagger \Phi_1)^2 + \dots, \quad (19)$$

where \dots are additional quartics that are not important to this story¹. In principle, there is also a $\mu_{23}^2 \Phi_2^\dagger \Phi_3$ term, but it can be removed via a rotation of $\Phi_{2,3}$. This rotation leaves the picture unchanged since $\Phi_{2,3}$ have the same quantum numbers.

For the baryogenesis mechanism to work, we assume the fields $\Phi_{2,3}$ are heavy with $m_2, m_3 \sim 1$ TeV. In order for the Z_2 breaking to be soft and below the highest scales in our theory, we will additionally assume $\mu_{12}, \mu_{13} \ll m_{2,3}$. Once Φ_1 obtains a vev, it induces tadpole terms for $\Phi_{2,3}$. These tadpoles in turn induce vevs in Φ_2 and Φ_3 :

$$v_2 \approx v_1 \frac{\mu_{12}^2}{m_2^2} \ll v_1 \text{ and } v_3 \approx v_1 \frac{\mu_{13}^2}{m_3^2} \ll v_1. \quad (20)$$

Hence, the tadpole terms give a seesaw where the smallness of $v_{2,3}$ comes from the larger values of the masses $m_{2,3}$. For $m_3 \sim 1$ TeV, $v_2 \sim 2.5$ GeV can be generated with $\mu_{12} \sim 100$ GeV.

In order to relate this model to the baryogenesis mechanism, we need to rotate the gauge eigenbasis $\Phi_{1,2,3}$ into the doublet mass eigenbasis $H_{1,2,3}$. To order μ^2/m^2 , this can be accomplished via the rotation

$$\begin{pmatrix} H_1 \\ H_2 \\ H_3 \end{pmatrix} \approx \begin{pmatrix} 1 & \mu_{12}^2/m_2^2 & \mu_{13}^2/m_3^2 \\ -\mu_{12}^2/m_2^2 & 1 & 0 \\ -\mu_{13}^2/m_3^2 & 0 & 1 \end{pmatrix} \begin{pmatrix} \Phi_1 \\ \Phi_2 \\ \Phi_3 \end{pmatrix}. \quad (21)$$

From Eq. (20), this is precisely the rotation into the Higgs basis such that $\langle H_1 \rangle = v_{EW}/\sqrt{2}$ and $\langle H_2 \rangle = \langle H_3 \rangle = 0$,

¹ Assuming our hierarchy of scales, we have explicitly checked that the additional quartics make only non-leading contributions to our mechanism

where $v_{EW}^2 = v_1^2 + v_2^2 + v_3^2 \approx v_1^2$. The Higgs potential is then

$$-\mu^2 H_1^\dagger H_1 + m_2^2 H_2^\dagger H_2 + m_3^2 H_3^\dagger H_3 + \lambda(H_1^\dagger H_1)^2 + \dots \quad (22)$$

That is, $H_{2,3}$ are the doublet mass eigenstates appearing in Eqs. (9-11), as we desired. The Yukawas in Eq. (9) are related to those in Eq. (18) via

$$\begin{aligned} \lambda_1^{u,d} &\approx y_1^{u,d}, & \lambda_{2,3}^{u,d} &\approx y_1^{u,d} v_{2,3}/v_{EW}, \\ \lambda_1^\ell &\approx y_2^\ell v_2/v_{EW}, & \lambda_{2,3}^\ell &\approx y_{2,3}^\ell, \\ \lambda_1^\nu &\approx (y_2^\nu v_2 + y_3^\nu v_3)/v_{EW}, & \lambda_{2,3}^\nu &\approx y_{2,3}^\nu, \end{aligned} \quad (23)$$

where we have used $v_2 \gg v_3$.

We will now present an example of choice of parameters that allows for viable baryogenesis and avoids conflict with various constraints. Other choices of parameters may be possible, yet it suffices for our purposes to provide a particular, but not very special, realization of our model. Let m_{Ri} , with $i = 1, 2, 3$ denote the masses of the three right-handed neutrinos ν_{Ri} . We will assume that $m_{R1,2} \gg m_{2,3}$ and hence the $H_{2,3}$ would not decay into them, while ν_{R3} is light compared to $H_{2,3}$. We will choose $m_{R3} \sim 100$ GeV and $m_{R1,2} \sim 10$ TeV. This means that the generation of asymmetry will result from the decay of $H_3 \rightarrow \nu_{R3} \bar{L}$, with the other channel provided by decay into charged leptons.

Let us take the simplified limit of $v_3 \rightarrow 0$, corresponding to $\mu_{13} \rightarrow 0$, for illustrative purposes. We will also take the minimal approach of providing two neutrino masses of $\mathcal{O}(0.1\text{eV})$, with the third state very light or massless, as allowed by all available data. For $m_{R1,2} \sim 10$ TeV and $m_\nu \sim 0.1$ eV, Eq. (7) requires $\lambda_1^\nu \sim 10^{-5}$ which from Eq. (23) yields $y_2^\nu \sim 10^{-3}$. From the discussion leading to Eq. (5), one could easily determine that washout mediated by $H_{2,3}$ could be avoided if we have

$$|\lambda_{2,3}^\ell \lambda_{2,3}^\nu| \lesssim 10^{-6}, \quad (24)$$

where $\ell = e, \mu, \tau$. The lepton number violating processes that we would like to avoid correspond to the final states $\bar{L} \nu_R$ and its Hermitian conjugate. Note that for $T_{rh} \gtrsim 10^2$ GeV, production of $\nu_{R1,2}$ would be severely Boltzmann suppressed, since $m_{R1,2}/T_{rh} \sim 100$. For final states including ν_{R3} , processes mediated by H_1 can be decoupled, since ν_{R3} is not required to have substantial coupling to H_1 if we only need two mass eigenstates with $m_\nu \sim 0.1$ eV. Hence, we only need to make sure that processes mediated via $H_{2,3}$ that lead to a ν_{R3} in the final state are sufficiently suppressed, corresponding to condition Eq. (24).

Using Eq. (11), we can estimate

$$\varepsilon \sim \frac{1}{8\pi} \left| \frac{\lambda_3^\nu \lambda_a^\ell \lambda_a^\nu}{\lambda_3^\ell} \sin \phi \right| \lesssim 4 \times 10^{-8} |\sin \phi|, \quad (25)$$

where ϕ is a relative CP phase between the charged lepton and neutrino Yukawas. Also, we have implicitly assumed that H_3 decays roughly equally into neutrinos and charged leptons, $\lambda_3^\nu \sim \lambda_3^\ell$, and used the bound in Eq. (24) for the $\bar{L} \nu_{R3}$ final state. Note that since we require $\lambda_2^\tau \sim 1$, suppression of washout mediated by H_2 requires $\lambda_2^{\nu R3} \lesssim 10^{-6}$, where the superscript is specified for clarity. This, according to Eq. (23), would lead to $\lambda_1^{\nu R3} \lesssim 10^{-8}$, which is too small to generate $m_\nu \sim 0.1$ eV. However, as mentioned before, this is consistent with the phenomenologically viable possibility of having one very light neutrino.

Given Eq. (25), in order to accommodate $\varepsilon \gtrsim 10^{-9}$ and create the BAU, we then only need a phase $\phi \gtrsim 0.1$. Here, we also note that the above sample parameter space leads to $\nu_{R3} \rightarrow L H_1$ being a typical decay mode of ν_{R3} , as will be shown later when we discuss collider signatures of our model. The rate for this decay is estimated to be $\Gamma(\nu_{R3} \rightarrow L H_1) \sim (32\pi)^{-1} |\lambda_1^{\nu R3}|^2 m_{R3} \lesssim 10^{-16}$ GeV which leads to decays *after* EWSB when sphalerons are decoupled. Hence, ν_{R3} decays would not interfere with our baryogenesis mechanism. We then conclude that baryogenesis can be successful in our scenario with the above choice of parameters, as a concrete example.

LOW ENERGY SEARCHES

We must ensure that the values of Yukawas and CP phases deduced from our benchmark flavor model are consistent with low energy observables such as electric dipole moments. Additionally, to have a non-zero asymmetry parameter ε , the Yukawas of $H_{2,3}$ must be misaligned. This misalignment necessarily gives rise to flavor changes that can be searched for.

- **Electric Dipole Moments (EDMs):** The nucleon EDM gets contributions from complex Yukawa couplings of the Higgs fields as well as a θ term in the QCD Lagrangian. Assuming a sufficiently small θ (the usual “strong CP problem”), we will consider the contribution of H_2 , since the Yukawa coupling of H_3 to light quarks is relatively suppressed by a factor of $v_3/v_2 \ll 1$ in our flavor model. See for example Ref. [12] for bounds on a neutrinophilic Higgs doublet.

As we are mostly interested in illustrating that typical values of parameters in our scenario lead to successful baryogenesis and acceptable phenomenology, we will only present order-of-magnitude estimates here. Since the coupling of quarks to H_2 is suppressed by v_2/v_{EW} in our flavor model, we find that the 2-loop “Barr-Zee” diagrams [13, 14] are more important than the 1-loop process. Here, the coupling of H_2 to photons is dominated by the τ loop, which couples to H_2 with strength $\lambda_2^\tau \sim 1$,

whereas the coupling of the top quark to H_2 is $\lambda_2^t \sim 0.01$. However, the top mass is about two orders of magnitude larger, which compensates for the suppressed coupling. Given that these two contributions are roughly similar, we will only use the τ contribution for our estimate of the effect.

The 2-loop contribution of H_2 (for $v_3 \rightarrow 0$ we can ignore H_3) to the EDM of a light quark q can then be estimated by

$$d_q \sim \frac{e^3 \lambda_2^\tau \lambda_2^q m_\tau \sin \omega}{(16\pi^2)^2 m_a^2}, \quad (26)$$

where we have $\lambda_2^q \sim 10^{-7}$; we have denoted a typical phase by ω . For $m_2 \sim 1$ TeV, we then find $d_q \sim 10^{-32} \sin \omega e$ cm. The current 90% C.L. bound on neutron EDM is $d_n < 3.0 \times 10^{-26} e$ cm [6], which indicates our model is not constrained much by the neutron EDM experiments.

In order to go further and study electron EDM bound constraints, we need to have a measure of how large lepton flavor violating couplings can be in our model. We will parameterize flavor violation by $\lambda_a^{e\mu}$, $\lambda_a^{\mu\tau}$, and $\lambda_a^{e\tau}$, for tree-level transitions mediated by H_a for $a = 2, 3$, in an obvious notation. Since H_1 couplings to leptons are severely suppressed, we will only consider the dominant contributions from H_a for $a = 2, 3$.

With the above assumptions, we have

$$\Gamma(\ell \rightarrow 3f) \approx \frac{\lambda_a^{f2} \lambda_a^{f\ell 2} m_\ell^5}{1536\pi^3 m_a^4}, \quad (27)$$

where $\ell = \mu, \tau$ and f is a light final state charged lepton; we have ignored the effect of final state masses on the phase space.

We have $\lambda_a^e \sim 3 \times 10^{-4}$, $\lambda_a^\mu \sim 6 \times 10^{-2}$, and as before $m_a \sim$ TeV. We then find

$$\Gamma(\mu \rightarrow 3e) \sim 10^{-28} |\lambda_a^{e\mu}|^2 m_\mu \quad (28)$$

and

$$\Gamma(\tau \rightarrow 3\mu) \sim 10^{-18} |\lambda_a^{\mu\tau}|^2 m_\tau \quad (29)$$

where m_μ and m_τ are the masses of the μ and τ leptons, respectively. The width $\Gamma(\tau \rightarrow e\mu\mu)$ is given by the above formula, with $\lambda_a^{\mu\tau} \rightarrow \lambda_a^{e\tau}$. The total widths are given by $\Gamma_\mu \approx 2.8 \times 10^{-18} m_\mu$ and $\Gamma_\tau \approx 1.3 \times 10^{-12} m_\tau$, in an obvious notation. The current 90% C.L. bounds on the above decays are $\text{BR}(\mu \rightarrow 3e) < 1.0 \times 10^{-12}$, $\text{BR}(\tau \rightarrow 3\mu) < 2.1 \times 10^{-8}$, and $\text{BR}(\tau \rightarrow e\mu\mu) < 2.7 \times 10^{-8}$ [6]. Hence, we find

$$|\lambda_a^{e\mu}| \lesssim 0.2, \quad |\lambda_a^{\mu\tau}| \lesssim 0.2, \quad \text{and} \quad |\lambda_a^{e\tau}| \lesssim 0.2. \quad (30)$$

The dominant contribution to the electron EDM d_e , based on our model assumptions will then be mediated by a 1-loop H_a diagram through the flavor-changing $e\mu$ or $e\tau$ coupling of H_a . We then estimate a typical value by

$$d_e \sim \frac{e \lambda_a^{e\ell 2} m_\ell \sin \omega}{16\pi^2 m_a^2} \quad (31)$$

$$\sim \begin{cases} 10^{-23} |\lambda_a^{e\mu}|^2 \sin \omega e \text{ cm} & \text{for } \ell = \mu \\ 10^{-22} |\lambda_a^{e\tau}|^2 \sin \omega e \text{ cm} & \text{for } \ell = \tau \end{cases} \quad (32)$$

Note that the while we are using the same notation for the phase ω as before, it only is meant to denote a typical phase and is not assumed to have the same numerical value. The 90% C.L. bound $d_e < 1.1 \times 10^{-28} e$ cm [15] then implies bounds of

$$|\lambda_a^{e\mu}| \sqrt{\sin \omega} \lesssim 3 \times 10^{-3} \quad (33)$$

$$|\lambda_a^{e\tau}| \sqrt{\sin \omega} \lesssim 1 \times 10^{-3}. \quad (34)$$

- $\mu \rightarrow e\gamma$: This process provides a potentially severe constraint on models of new physics. Here, with our preceding assumptions, we expect the main contribution to $\mu \rightarrow e\gamma$ to arise from the λ_a^μ and $\lambda_a^{e\mu}$, or $\lambda_a^{\mu\tau}$ and $\lambda_a^{e\tau}$ couplings at 1-loop order, depending on if the internal fermion is a muon or tau. The resulting effective operator can be estimated by

$$O \sim \frac{e m_\ell \lambda_a^{\mu\ell} \lambda_a^{e\ell}}{16\pi^2 m_a^2} \bar{\mu} \sigma_{\mu\nu} e F^{\mu\nu}, \quad (35)$$

where $\sigma_{\mu\nu} = (i/2)[\gamma_\mu, \gamma_\nu]$ and $\ell = \mu, \tau$. This dipole operator yields the branching fraction

$$\text{Br}(\mu \rightarrow e\gamma) \sim 3 \times 10^{-4} |\lambda_a^{e\ell} \lambda_a^{\mu\ell}|^2 \left(\frac{m_\ell}{\text{GeV}} \right)^2, \quad (36)$$

which should be compared with the 90% C.L. constraint $\text{Br}(\mu \rightarrow e\gamma) < 4.2 \times 10^{-13}$ [16]. The bounds on the flavor off-diagonal couplings are then

$$|\lambda_a^{e\mu}| \lesssim 8 \times 10^{-3} \quad (37)$$

$$|\lambda_a^{e\tau} \lambda_a^{\mu\tau}| \lesssim 2 \times 10^{-5}. \quad (38)$$

If the bound in Eq. (34) is saturated and $\sin \omega \sim 0.1$, we obtain $|\lambda_a^{\mu\tau}| \lesssim 2 \times 10^{-2}$.

- $(g - 2)$: From the above discussion we can conclude that the dominant contribution to the muon anomalous magnetic moment $g_\mu - 2$ will come from the flavor-changing H_a - μ - τ coupling $\lambda_a^{\mu\tau}$ which is the least constrained. We can then estimate the contribution to $(g_\mu - 2)/2$ by

$$\Delta a_\mu \sim \frac{\lambda_a^{\mu\tau 2} m_\tau^2}{16\pi^2 m_a^2}, \quad (39)$$

which yields $|\Delta a_\mu| \lesssim 2 \times 10^{-12}$ (for $\sin \omega \sim 0.1$), which is too small to account for the current $\sim 3.5\sigma$ anomaly [6].

Ref. [17] suggests that a Yukawa flavor structure of $\lambda^{ij} \sim \min(m_i, m_j)/v_{EW}$ is in good agreement with data². Here, we will determine the compatibility of H_2 couplings with this ansatz. In order to keep $\lambda_2^\tau \sim 1$, for the charged leptons we modify the ansatz of Ref. [17] to $\lambda_2^{ij} \sim \min(m_i, m_j)/m_\tau$. Hence, we have $\lambda_2^{e\mu} \sim \lambda_2^{e\tau} \sim 3 \times 10^{-4}$, and $\lambda_2^{\mu\tau} \sim 0.06$. The constraint from $\ell \rightarrow 3f$ in Eq. (30) is clearly satisfied. For $\sin \omega \sim 0.1$, the bounds in Eqs. (33,34,37,38) are also satisfied, although we are within order one of many of these bounds. The ansatz for off-diagonal couplings can similarly be generalized for H_3 couplings. We note that since the maximum H_2 coupling is one, as long as the maximum H_3 coupling is below one then all bounds are also respected, assuming the H_3 couplings satisfy washout condition in Eq. (5). Hence we conclude that our mechanism is viable, in agreement with low energy observables, and if this ansatz for the charged lepton Yukawas holds we may expect to see a signal in the electron EDM or $\mu \rightarrow e\gamma$ [19].

COLLIDER SEARCHES

Now we discuss some of the aspects of the signals of our model at proton-proton colliders. First, we concentrate on the pair production rates of the new heavy scalars. After electroweak symmetry breaking, the two heavy Higgs doublets can be decomposed as

$$H_i = \frac{1}{\sqrt{2}} \begin{pmatrix} \sqrt{2}h_i^\pm \\ v_i + h_i + i a_i \end{pmatrix}, \quad \text{for } i = 2, 3. \quad (40)$$

Hence, we have 4 charged states, 2 pseudoscalar bosons, and 3 scalar bosons (including the scalar h_1 from H_1). We will assume negligible mixing between the different scalars, which is reasonable in the limit $v_1 \gg v_2 \gg v_3$. Hence, the Goldstone bosons almost completely reside within H_1 . Electroweak precision constraints generally require at least one of the neutral scalars a_i, h_i to be mass degenerate with the charged scalars h_i^\pm [20]. Hence, for simplicity we will assume that h_i^\pm, h_i , and a_i have a common mass m_i for each $i = 2, 3$. Production cross sections are computed in `MadGraph5_aMC@NLO` [21] using a model generated via `FeynRules` [22].

In Fig. 2 we show the pair production rates for various di-scalar final states: (a) $h_i h_i^\pm$ and $a_i h_i^\pm$, and (b) $h_i^+ h_i^-$ for $i = 2, 3$. We provide cross sections for (black solid) the $\sqrt{S} = 13$ TeV LHC, (violet dashed) the proposed $\sqrt{S} = 15$ TeV upgrade of the LHC [23], (red dot-dash) the proposed $\sqrt{S} = 27$ TeV upgrade of the LHC

(HE-LHC) [24], and the proposed $\sqrt{S} = 100$ TeV colliders (FCC-hh/SppC) [25, 26]. The production cross sections for $h_i a_i$, although not shown, are within $\sim 5 - 20\%$ of $h_i^+ h_i^-$. The production modes considered here depend almost exclusively on the gauge couplings of the heavy scalars, and hence have minimal dependence on the model parameters. The di-scalar final states $h_i h_i$ and $a_i a_i$ will depend on trilinear scalar couplings and not gauge couplings, so we do not discuss them. Finally, we have included both Drell-Yan and production in association with two jets (similar to vector boson fusion). However, we find the production with two jets to be always subdominant. This is in contrast to the SM case, where the vector boson fusion production rate of the Higgs boson competes with gluon fusion for Higgs mass $\gtrsim 1$ TeV [27].

The benchmark luminosity for the 13 and 15 TeV LHC is 3 ab^{-1} , for the HE-LHC 15 ab^{-1} , and for FCC-hh/SppC 30 ab^{-1} . Hence, for $m_i \sim 1 - 2$ TeV, we can expect between zero and 40 events at the high luminosity 13 TeV LHC. At 15 TeV, the situation is slightly improved to an expected number of events between 1 and 80. With between 30 and 2,300 events, the HE-LHC would be likely to be sensitive to much of the relevant parameter region and test our model. Of course, the situation is most promising at the FCC-hh/SppC with between 2,800 and 50,000 events. These predictions for the number of events are robust, since the production channels we consider are fully determined by gauge couplings. While 40-80 events at the LHC may seem small, as we discuss below, the decays of these heavy scalars can be striking and with small background. Hence, the LHC may be able to probe masses around 1 TeV, while future colliders may be needed for masses at or above 2 TeV. A full collider study would be necessary to determine the full reach of these machines.

We will now discuss the decays of the new scalars. Due to the vev hierarchy, from Eq. (21) the mixing between Φ_1 and Φ_2 is $v_2/v_1 \sim 1\%$ and between Φ_1 and Φ_3 is much smaller as assumed before. The decays of the heavy scalars into quark, gauge boson, and di-Higgs channels depend on the mixing and are highly suppressed. Hence, the heavy scalars predominantly decay into leptons via their Yukawa couplings. The neutral scalars h_2 and a_2 each decay mainly to a τ pair. Since we require $m_3 \gg m_{R3}$ in our baryogenesis mechanism, the neutral scalars h_3 and a_3 each decay primarily to a heavy (ν_{R3}) and a light neutrino and potentially similarly into charged leptons. For the charged scalars, since H_2 couples according to charged lepton masses, h_2^\pm will decay to a τ and a light neutrino. Since H_3 couplings are not necessarily as hierarchical as the charged fermions, h_3^\pm can decay into μ, e and ν_{R3} , as well as a τ and ν_{R3} .

With our sample parameters, used to derive Eq. (25), only ν_{R3} is potentially accessible at collider experiments, with $\nu_{R1,2}$ being too heavy (~ 10 TeV) to produce at

² Another well-known flavor structure is the Cheng-Sher [18] ansatz $\lambda^{ij} = \sqrt{m_i m_j}/v_{EW}$. However, Ref. [17] suggests that $\lambda^{ij} \sim \min(m_i, m_j)/v_{EW}$ is in better agreement with observations

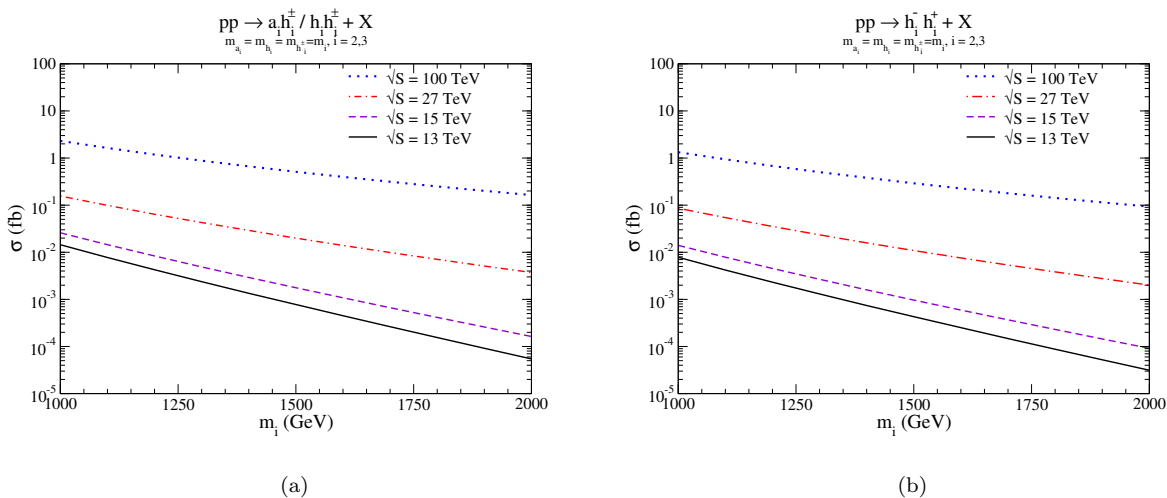


FIG. 2: Production cross sections for heavy scalars (a) $h_i h_i^\pm$ and $h_i h_i^\pm$, and (b) $h_i^+ h_i^-$. Both Drell-Yan and VBF production mechanisms are included for all processes. We show the cross sections for lab frame energies of (blue dotted) $\sqrt{S} = 100$ TeV, (red dash-dot) $\sqrt{S} = 27$ TeV, (violet dashed) $\sqrt{S} = 15$ TeV, and (black solid) $\sqrt{S} = 13$ TeV.

the LHC and likely other envisioned facilities. Here, assuming that $m_{R3} \gtrsim 100$ GeV, ν_{R3} can decay to SM gauge bosons via mixing, to H_1 and a light neutrino through direct coupling leading to a “Dirac” mass of $m_{D3} \sim \text{keV}$, or in three-body decays via an off-shell heavy scalar into leptons plus missing energy. The mixing angle $\theta \sim m_{D3}/m_{R3} \sim 10^{-8}$ for ν_{R3} - ν mixing leads to the following estimate

$$\begin{aligned} \Gamma(\nu_{R3} \sim W^\pm \ell^\mp) &\sim 4\Gamma(\nu_{R3} \rightarrow \nu_L Z) \\ &\sim \frac{\theta^2}{8\pi} \frac{m_{R3}^3}{v^2} \lesssim 10^{-16} \text{ GeV}, \end{aligned} \quad (41)$$

with $V = W, Z$. We also find

$$\Gamma(\nu_{R3} \rightarrow \nu_L h_1) \sim \frac{1}{32\pi} |\lambda_1^{\nu_{R3}}|^2 m_{R3} \lesssim 10^{-16} \text{ GeV}, \quad (42)$$

with $|\lambda_1^{\nu_{R3}}| \lesssim 10^{-8}$ in our preceding example. Finally, we also find, in analogy to Eq. (27),

$$\Gamma(\nu_{R3} \rightarrow \nu_L \ell \ell) \sim \frac{|\lambda_{2,3}^\ell \lambda_{2,3}^\nu|^2}{1536\pi^3} \frac{m_{R3}^5}{m_{2,3}^4} \lesssim 10^{-19} \text{ GeV}. \quad (43)$$

The above estimates imply that in our example the ν_{R3} decays would be quite displaced, on the order of meters. This could in principle lead to very unique signals. However, the proximity of the estimates (41) and (42) suggests that a more careful study is needed to decide the dominant decay mode, but one could end up with similar rates for the first two possibilities. Since the example parameters used to illustrate the viability of our baryogenesis mechanism were only one of many possible solutions, we do not offer a more detailed analysis here, but suffice it to say that the model can potentially yield interesting signals of ν_R decays.

The phenomenology of SM-like Higgs boson, h_1 , can also be altered. Initially, in the Higgs basis of H_1, H_2, H_3 , the coupling of h_1 are precisely the same as in the SM. However, there can be mixing between neutral scalars h_1 and h_2 via quartic interactions in the Higgs potential. For order one couplings, these mixings could be expected to be of the size $\sim v_1 v_2/m_2^2$ which, assuming TeV scale heavy Higgses, is around $\sim 0.1\%$ for h_2 . Since the mixing with the heavy scalars are small, the production rate and main decay rates ($b\bar{b}, WW, ZZ, \gamma\gamma$) of h_1 are little changed. However, the branching ratios into rarer modes, such as $\mu^- \mu^+$, can be altered. The SM-like Yukawa coupling of h_1 to muons is $m_\mu/v_{EW} \sim 4 \times 10^{-4}$, while the h_2 coupling to muons is $m_\mu/\sqrt{2}m_\tau \sim 0.04$. Hence, after 0.1% mixing with h_2 , the coupling of h_1 to muons can be shifted from the SM by $\sim 10\%$. The branching ratio of $h_1 \rightarrow \mu^+ \mu^-$ is then moved away from the SM value by $\sim 20\%$. This shift is generically true of all charge leptons including τ s. While $h_1 \rightarrow e^- e^+$ is unobservable at the LHC due to small electron couplings, this level of deviation in $h_1 \rightarrow \mu^+ \mu^-$ and $h_1 \rightarrow \tau^+ \tau^-$ will be observable at the high luminosity LHC with 3 ab^{-1} or the HE-LHC with 15 ab^{-1} of data [28].

SUMMARY

In this paper we have presented a mechanism for the generation of the baryon asymmetry via heavy Higgs doublet decays into lepton doublets and right-handed neutrino singlets. These decays produce an asymmetry in the lepton doublets that then gets processed into a baryon asymmetry via the electroweak sphalerons. This scenario is a nearly minimal extension of the SM, in which we

only need right-handed neutrinos which can help explain neutrino masses, and additional Higgs doublets. Since the Yukawa couplings between the SM Higgs boson and neutrinos is constrained to be small, at minimum two additional Higgs doublets are required to guarantee that the asymmetry parameter in Eq. (10) is sufficiently large.

In addition to generating the baryon asymmetry, this scenario has many signatures at current and future experiments. To generate the baryon asymmetry, there needs to be a misalignment between the Yukawas of the different Higgs doublets. Once all Higgs doublets obtain a vev, this necessarily leads to flavor changing currents in the lepton sector as well as EDMs. As shown above, the baryon asymmetry can be generated and current constraints on charged lepton flavor violation accommodated within a realistic Yukawa structure. Furthermore, future $\mu \rightarrow e\gamma$ and electron EDM experiments may be expected to show signatures of this baryon asymmetry mechanism.

Finally, we studied the collider signatures of the heavy Higgs doublets. Via di-scalar production, the scenario presented here can provide striking signatures of many leptons, missing energy, b -jets, and possibly displaced vertices. While the di-scalar production rates can be favorable at the LHC, future colliders may be needed to observe much of the interesting parameter space. Additionally, we may expect the observed Higgs boson decays into muons and taus, $h_1 \rightarrow \mu^+\mu^-/\tau^+\tau^-$, to differ from SM predictions by upwards of 20%. This is an observable amount of deviation at the high luminosity LHC with 3 ab^{-1} or the HE-LHC with 15 ab^{-1} of data [28].

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