Illumination In Diverse Codimensions

David C. Banks

Institute for Computer Applications in Science and Engineering

ABSTRACT

This paper considers an idealized subclass of surface reflectivities; namely, a simple superposition of ideal diffuse and ideal specular, restricted to point light sources. The paper derives a model of diffuse and specular illumination in arbitrarily large dimensions, based on a few characteristics of material and light in 3-space. It describes how to adjust for the anomaly of excess brightness in large codimensions. If a surface is grooved or furry, it can be illuminated with a hybrid model that incorporates both the 1D geometry (the grooves or fur) and the 2D geometry (the surface).

CR Categories and Subject Descriptors: I.3.7 [Computer Graphics]: Three-Dimensional Graphics and Realism − color, shading, shadowing and texture.

Additional Keywords and Phrases: manifold, anisotropic reflection, hair, fur.

1 Introduction

When a geometric object possesses a distinct (outward) unit normal at each point, the familiar models of illumination can be applied to the object. When the object is in a large dimensional space, the space of unit normals has two or more dimensions and the illumination model must be extended; examples include curves in 3-space and 4-space, or surfaces in 4-space and higher.

This paper addresses the problem of applying light in large codimensions. Consider an object of dimension $k>0$ in Euclidean space of dimension $n>k$. The difference $n-k$ is the *codimension* of the object. Mathematicians use the term *k-manifold* to denote the *k*-dimensional generalization of curves (1-manifolds) and surfaces (2-manifolds). Every neighborhood of a *k*-manifold is homeomorphic with Euclidean *k*-space.

Regarding codimension 1, popular texts on computer graphics [Foley90, Rogers85] handle the special case of $k=2$ and $n=3$: these are ordinary surfaces in 3-space. Other authors [Carey87] [Steiner87] have noted that whenever the codimension is 1, each point of a manifold can be naturally assigned a normal vector. The usual lighting equations then prevail. (Special care is required for non-orientable manifolds or manifolds with boundary, since their "frontfacing" elements are not well defined.)

Regarding codimension 2, several authors have considered the case of $k=1$, $n=3$ for illuminating fur [Kajiya85], hair [Anjyo92, Miller88, LeBlanc91, Watanabe92], or anisotropic grooves on a surface [Kajiya89, Poulin90, Westin92, Ward92]. The case $k=2$, $n=4$ has been studied in the "Fourphront" system [Banks92, Banks93] and elsewhere [Hanson93] for examining a variety of

Mail Stop 132C, NASA Langley Research Center
Hampton, Virginia 23681-0001   (banks@icase.edu)

surfaces in 4-space. Kajiya and Hanson each testify that their model is not based on physical principles; Kajiya calls it "ad hoc" and Hanson calls it a "heuristic" result. But in fact the Kajiya-Hanson model can be derived from a few physical principles. This is the subject of section 2.

In daily life one encounters illuminated surfaces everywhere. It is reasonable to believe that the human visual system is especially well designed to infer shape from the shading of 2-dimensional surfaces in 3-space [Horn89]. If surfaces in 3-space represent the ideal for visual comprehension, the Kajiya-Hanson model suffers from a peculiar drawback: manifolds are "too bright" when the codimension grows larger. The increase in brightness in higher-dimensional spaces is not caused by any defect in the ideal diffuse model, but is caused instead by the increasing proportion of unit vectors that lie near the normal space. Section 3 discusses the problem and section 3.4 presents a simple remedy.

Kajiya [Kajiya85] noted the importance of global illumination effects (in the form of attenuation and shadows) for rendering textured volume elements. Section 4 shows how the combination of a manifold together with a vector field (like a surface together with fur) can be illuminated to simulate global effects. The technique can be incorporated into a simple object-order (e.g., polygon) renderer.

That reader should note that more complete reflection models are available; in particular, models that accurately describe the form of the specularly-reflected energy and the shape of the diffusely-reflected energy. The quantity of specular and diffuse reflection depends on the solid angle of the incident irradiation. In particular, the reflected intensity is not finite at grazing angles of reflection, but goes to zero. Examples of more comprehensive reflection models for surfaces in 3-space can be found elsewhere [Kajiya86, He92, Hanrahan93].

2 The Model for Large Codimensions

The final results of this section will be equations for diffuse and specular illumination that are equivalent to the results that Kajiya and Hanson have presented [Kajiya89] [Hanson93]. The new contribution that this section offers is a physical motivation to the derivation. The conventional motivation begins by promoting the dimension of a manifold, illuminating the promoted manifold, and integrating. The new motivation dispenses with the promotion and integration steps altogether. It proceeds directly from the geometry to the illumination solution, without regard to the participating dimensions.

The following discussion makes heavy use of the tangent space **T** and the normal space **N** at a point **p** on a *k*-manifold *M* in *n*-space (see Figure 1). The space **T** is the vector space tangent to a point in *M*. It has dimension *k*, matching that of the manifold *M*. The space **N** is orthogonal to **T** and has dimension *c* (the codimension of *M*). The dimensions of **T** and **N** add up to the dimension of the entire space (that is, $k+c = n$).

2.1 Conventional Motivation

The benefit of codimension 1 is that there exist only two unit normals in a point's 1-dimensional normal space. The usual illumination equations require the modest choice of one of the two. If the codimension is large, there is no clear way to select one unit normal from the infinitude that are available. There is a clever solution that other authors have adopted: the dimension of the manifold can be promoted to reduce the codimension.

Let $S^n(r)$ denote an n-sphere of radius r. A circle of radius 10 is then $S^1(10)$; a unit sphere is $S^2(1)$, or simply S^2. Kajiya, Hanson, and others have proposed that illuminating a k-manifold M of codimension $c > 1$ can be accomplished after forming the Cartesian product of M with $S^{c-1}(r)$. It is required that $S^{c-1}(r)$ lie within the normal space $\mathbf{N}$. A point is thus promoted to a circle in 2-space or to a sphere in 3-space; a curve is promoted to a tube in 3-space; a surface is promoted to a volume in 4-space.

The advantage of promoting M to $M' = M \times S^{c-1}(r)$ is that the promoted manifold has codimension 1. This represents the simple case where the usual lighting equations prevail. The promoted manifold M' can provide an effective representation of M with no further processing. But to render M itself, one must employ a scheme whereby a point $\mathbf{p}$ in M inherits the illumination of its fiber $\mathbf{p} \times S^{c-1}(r)$ in M'. A reasonable way to accomplish that goal is to integrate the intensity of the reflected light over $\mathbf{p} \times S^{c-1}(r)$ and then to average it. The average intensity is obtained by dividing the integrated intensity by the measure of the fiber as seen by the eye. This measure can be a length, an area, a volume, or so forth, in accordance with the dimension $c-1$ of the sphere $S^{c-1}(r)$ (used in the cross product) over which the average is taken. The limit of the average, as $r \rightarrow 0$, yields a reasonable intensity for the point $\mathbf{p}$.

There are two drawbacks to this approach of promoting M to M', integrating, and then averaging. First, the integration is unwieldy for $c > 1$, due to the specular term in the integrand. Second, the projected measure of $S^{c-1}(r)$ is view-dependent. This opposes the notion that diffuse reflection is view-independent. For example, in derivation (13) of [Kajiya89], the integrated intensity over a fiber $\mathbf{p} \times S^1(r)$ of M' is calculated to be

$$I'_{diffuse} = k_d\, r\, \mathbf{L} \cdot \mathbf{L_N} \int_0^\pi \sin\theta\, d\theta$$

$$= k_d\, 2r\, \mathbf{L} \cdot \mathbf{L_N}$$

where k_d is the diffuse coefficient, $\mathbf{L}$ is the light vector, and $\mathbf{L_N}$ is the projection of $\mathbf{L}$ onto $\mathbf{N}$ (Figure 1). Under a parallel projection,
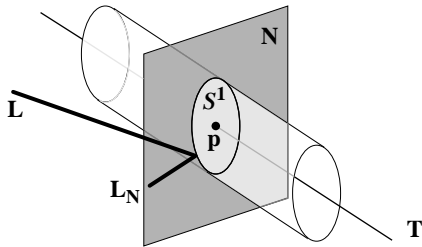
the arclength of the circle can vary from $2r$ (viewing the tube from the side) to πr (viewing the tube end-on). So the average intensity ranges between a minimum of $2/\pi\, k_d\, \mathbf{L} \cdot \mathbf{L_N}$ and a maximum of $k_d\, \mathbf{L} \cdot \mathbf{L_N}$ according to the viewing angle. Kajiya avoided this problem by treating the quantity $k_d\, 2r/\text{projectedArclength}(r)$ as a constant, so that a point on the original manifold M has intensity

$$I_{diffuse} = K_d\, \mathbf{L} \cdot \mathbf{L_N}$$

2.2 Principles for Diffuse Reflection

One can, in fact, justify Kajiya's result by characterizing diffuse reflection in the following way. A neighborhood of a point $\mathbf{p}$ absorbs energy from the incoming light (which delivers I_{source} per unit cross section), and then it re-radiates a fraction k_d of the absorbed energy. How much energy does the beam deliver to a unit-neighborhood of $\mathbf{p}$? That depends on the cross section of the beam and the angle it makes with the tangent plane (Figure 2).

Suppose an incident light beam strikes M at $\mathbf{p}$. The light vector $\mathbf{L}$ (pointing in the direction that the beam propagates) projects orthogonally onto the tangent space $\mathbf{T}$ at $\mathbf{p}$ to produce the vector $\mathbf{L_T}$. The two vectors form an angle $a(\mathbf{L}, \mathbf{L_T})$. Simple trigonometry shows that a unit neighborhood of the tangent space intercepts a beam whose cross-section has measure $\sin(a)$. Note that this quantity is never negative, since a vector can be no more than 90° from the tangent space. The manifold re-radiates k_d of the energy delivered by the beam's cross-section. Thus the diffuse component of reflection at $\mathbf{p}$ is given by

(1)
$$I_{diffuse} = k_d\, I_{source}\, \sin a(\mathbf{L}, \mathbf{L_T}).$$

This solution is essentially the same as Kajiya's: the sine (measured against $\mathbf{T}$) and cosine (measured against $\mathbf{N}$) are equal.

The principles for this result are (1) the re-radiated light's intensity varies with the energy delivered by the incident beam; and (2) the manifold re-radiates isotropically.

Equation (1) is purely local, neglecting any effects of shadowing (even self-shadowing). For a closed surface in 3-space, it is common practice to clamp the diffuse term to zero when the surface normal points away from the light source. This is best regarded as a "global" calculation. A very thin surface *does* re-radiate light both forward and backward, as the local model predicts. Moreover, when the codimension is larger than 1, the unit normals form a connected set. In that case there is no "front" or "back" side of the manifold. Local two-sidedness is an exclusive property of codimension 1.
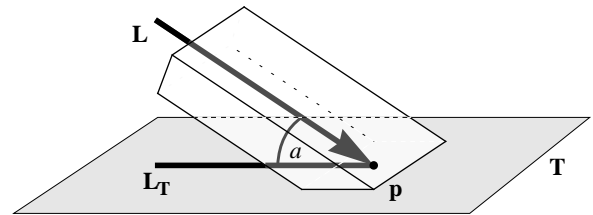

*Figure 1. Light shines in direction **L** at a point **p** on a tube. **L_N** is the projection of the light onto the normal space **N**. The diffuse reflection is integrated over the visible portion of the circle S^1.*

*Figure 2. Light shines in direction **L** at a point **p**. **L_T** is the projection of the light onto the tangent space **T**. The beam strikes a unit neighborhood of **p** at an angle a.*

2.3 Principles for Specular Reflection

A specular highlight is most intense where a reflection vector $\mathbf{R}$ and the view vector $\mathbf{V}$ are aligned. One can use a power function to condense the reflected intensity within a neighborhood where the angle between $\mathbf{R}$ and $\mathbf{V}$ is small (Phong lighting). The only problem is how to determine an appropriate unit-length reflection vector $\mathbf{R}$ when the codimension exceeds 1: there are infinitely many to choose from.

Deriving a solution has two parts. First, one determines the set of candidate reflection vectors R. By Fermat's principle, light follows a path of minimal length. Even in large codimensions this principle implies that the angle of incidence (measured against the tangent space) equals the angle of reflection. Section 2.3.1 derives this relation as $\mathbf{R_T} = \mathbf{L_T}$. The second step is to compute the distance between $\mathbf{V}$ and the space R of reflection vectors. Section 2.3.2 computes that distance by means of a "dot product" $\mathbf{V} \cdot R$.

2.3.1 Angle of Reflection and the Family of Reflection Vectors
To see how Fermat's principle applies to a ray of light reflecting from a tangent space $\mathbf{T}$, consider a point source $\mathbf{q}$ that shines on the point $\mathbf{p}$ and bounces to reach a point $\mathbf{u}$ (Figure 3). The segments from $\mathbf{q}$ to $\mathbf{p}$ and from $\mathbf{p}$ to $\mathbf{u}$ are straight-line paths, satisfying Fermat's principle. But for fixed $\mathbf{q}$ and $\mathbf{u}$, where does $\mathbf{p}$ lie?

In 2-dimensional space the problem is easy and the solution obvious: the angles $a = \angle(\mathbf{q}\ \mathbf{p}\ \mathbf{q_T})$ and $b = \angle(\mathbf{u}\ \mathbf{p}\ \mathbf{u_T})$ are equal, with $\mathbf{q_T}$ and $\mathbf{u_T}$ lying on opposite sides of $\mathbf{p}$. The situation in n-dimensional space is nearly this simple.

If $\mathbf{u} - \mathbf{p}$ really is a reflection vector then a path from $\mathbf{q}$ to $\mathbf{u}$ via a nearby point $\mathbf{s}$ in $\mathbf{T}$ must be longer than the path via $\mathbf{p}$. Thus $\mathbf{q_T}$, $\mathbf{p}$, and $\mathbf{u_T}$ must be collinear. To see why, consider choosing $\mathbf{s}$ off of the line $\overline{\mathbf{u_T}\ \mathbf{q_T}}$. For each of the two triangles (figure 3), the base would be shortened by moving $\mathbf{s}$ to its projection onto $\overline{\mathbf{u_T}\ \mathbf{q_T}}$ (because of the triangle inequality), as would each hypotenuse. So the total path-length through $\mathbf{s}$ is not minimal, defying Fermat's principle. Thus the base of each triangle lies on the line $\overline{\mathbf{u_T}\ \mathbf{q_T}}$.

Suppose that $a = b$ and consider what happens when $\mathbf{p}$ is then perturbed (in the line $\overline{\mathbf{u_T}\ \mathbf{q_T}}$) over to some $\mathbf{p} + s(\mathbf{q_T} - \mathbf{u_T})$. The total distance $\mathrm{D}(s)$ is parametrized by s:

$$\mathrm{D}(s) = \mathrm{d}(\mathbf{q},\ \mathbf{p} + s(\mathbf{q_T} - \mathbf{u_T})) + \mathrm{d}(\mathbf{p} + s(\mathbf{q_T} - \mathbf{u_T}),\ \mathbf{u})$$

A straightforward application of trigonometry and calculus demonstrates that the total distance is a local minimum exactly when $b = a$. One must simply verify that $\frac{d}{ds}\mathrm{D}(s) = 0$ when $s = 0$.

The unit vectors $\mathbf{L}$ and $\mathbf{R}$ consequently have identical tangent components, so the first requirement on a unit reflection vector $\mathbf{R}$ is that $\mathbf{R_T} = \mathbf{L_T}$. If the codimension is 1, there are two such "reflection" vectors, $\mathbf{R}^+$ and $\mathbf{R}^-$; $\mathbf{R}^+$ is the continuation of $\mathbf{L}$ transmitted through $\mathbf{T}$ (for opaque manifolds of codimension 1, this solution is ignored). When the codimension is 2, the set of all reflection vectors forms a cone-shaped family R (Figure 4). The unit reflection vectors from R project to a circle in the normal space $\mathbf{N}$. In general, the unit reflection vectors project to $S^{c-1}(r)$ in $\mathbf{N}$ when the codimension is c.

2.3.2 Angle Between the View Vector and the Reflection Vector
What is the angle between the view vector $\mathbf{V}$ and the space R of reflections? It is the angle between $\mathbf{V}$ and the closest vector $\mathbf{R}$ in R. This vector is easy to find. A unit reflection $\mathbf{R}$ can be expressed by its tangent and normal components $\mathbf{R_T} = \mathbf{L_T}$ and $\mathbf{R_N}$. The unit view vector can be likewise decomposed into $\mathbf{V_T}$ and $\mathbf{V_N}$.

The components $\mathbf{V_T}$, $\mathbf{V_N}$, and $\mathbf{R_T}$ are all fixed, so the distance between $\mathbf{R}$ and $\mathbf{V}$ is minimized when $\|\mathbf{R_N} - \mathbf{V_N}\|$ is minimized. That occurs when $\mathbf{R_N}$ and $\mathbf{V_N}$ are collinear: $\mathbf{R_N} = \lambda \mathbf{V_N}$ for some scalar λ. To see why this is minimal, recall that the vector $\mathbf{R_N}$ is also perpendicular to the point $\mathbf{R_N}$ on the sphere S^{c-1} in the normal space. It is a familiar result from calculus that if the distance from a point $\mathbf{p}$ (off of S^{c-1}) to a point $\mathbf{q}$ (on S^{c-1}) is minimal, the vector $\mathbf{p} - \mathbf{q}$ is perpendicular to S^{c-1}.

In particular, the reflection $\mathbf{R}$ is found by requiring its normal component to be

$$\mathbf{R_N} = -\|\mathbf{R_N}\|\frac{\mathbf{V_N}}{\|\mathbf{V_N}\|} = -\|\mathbf{L_N}\|\frac{\mathbf{V_N}}{\|\mathbf{V_N}\|}$$

This aligns $\mathbf{R}$ with the projection of the view vector onto the normal space (Figure 3). The cosine of the angle between $\mathbf{R}$ and $\mathbf{V}$ is just the dot product

$$\begin{aligned}\mathbf{V} \cdot \mathbf{R} &= (\mathbf{V_T} + \mathbf{V_N}) \cdot (\mathbf{R_T} + \mathbf{R_N}) \\ &= \mathbf{V_T} \cdot \mathbf{R_T} + \mathbf{V_N} \cdot \mathbf{R_N}\end{aligned}$$

The two inner terms of the expansion are zero because the tangent and normal spaces are orthogonal. By substituting for the components $\mathbf{R_T}$ and $\mathbf{R_N}$ of the reflection vector, one finds the projection formula

$$\mathbf{V} \cdot \mathbf{R} = \mathbf{V_T} \cdot \mathbf{L_T} - \mathbf{V_N} \cdot \|\mathbf{L_N}\|\frac{\mathbf{V_N}}{\|\mathbf{V_N}\|}$$
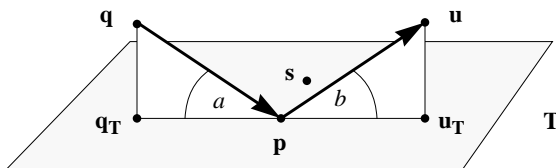

Figure 3. A ray of light emanates from $\mathbf{q}$, strikes the tangent space $\mathbf{T}$ at $\mathbf{p}$, and reflects to $\mathbf{u}$. If the total path has minimum length, angles a and b are equal.
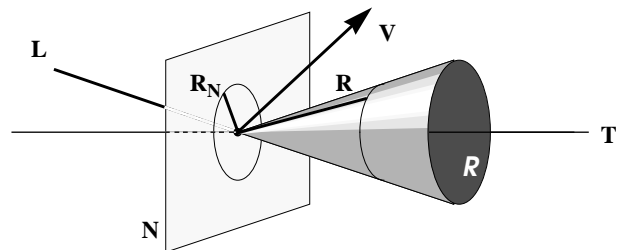

Figure 4. The light vector $\mathbf{L}$ reflects off a tangent line $\mathbf{T}$ in 3-space, forming a cone R of reflections. The view vector $\mathbf{V}$ projects to $\mathbf{R}$ in R. The components of $\mathbf{V}$ and $\mathbf{R}$ in the normal space $\mathbf{N}$ are aligned.

which simplifies to produce the specular term for large codimensions:

$$(2) \qquad \mathbf{V} \cdot \mathbf{R} \;=\; \mathbf{V_T} \cdot \mathbf{L_T} \;-\; \|\mathbf{V_N}\| \, \|\mathbf{L_N}\|$$

It is convenient to use $\mathbf{V} \cdot \mathcal{R}$ to denote this dot product between $\mathbf{V}$ and the nearest unit vector in $\mathcal{R}$.

2.3.3 Summary of Specular Model

Even when the codimension of the manifold is 1, equation *(2)* ignores which side of the manifold is being illuminated, reminiscent of the diffuse reflection in equation *(1)*. When $\mathbf{V}$ is more than 90° away from the reflection space $\mathcal{R}$, the quantity $\mathbf{V} \cdot \mathcal{R}$ becomes negative. The fact that it can be negative is unrelated to the codimension, and it is reasonable to clamp it to zero. The rationale is that when $\mathbf{V} \cdot \mathcal{R}$ is less than zero, $\mathbf{V}$ is so far from the reflection space that it receives no reflected light at all.

The Phong model for calculating the specular intensity is therefore

$$(3) \qquad I_{specular} = \; k_s \, I_{source} \, (\mathrm{clamp}(\mathbf{V} \cdot \mathcal{R}\,))^{\, power}$$

where $\mathrm{clamp}(x)=0$ when $x<0$. The principles for specular illumination are thus (1) light travels in paths of locally minimal length; and (2) the specular reflection is maximized exactly when the view vector nears the reflection space.

This seems like a lot of effort to expend just to end up with the same equation used by Hanson [Hanson93]. But the purpose of this derivation was not to replace the equations. The purpose was to replace the descriptions "ad hoc" and "heuristic" by means of a physically-motivated derivation of the geometric behavior of light, arguing from principles independent of any particular dimension.

3 Excess Brightness in Big Dimensions

When the diffuse model is applied to a k-manifold in n-space, under different values of k and n, a curious phenomenon occurs: the overall brightness of a manifold increases with the codimension. The torus T^2 is a convenient test object for demonstrating the effect. The surface can be imbedded in 4-space as the cross-product of two circles by the parametrization

$$(x,\, y,\, z,\, w) \;=\; (r_1 \cos\theta,\;\; r_1 \sin\theta,\;\; r_2 \cos\phi,\;\; r_2 \sin\phi)$$

where r_1 and r_2 are the "outer" and "inner" radii. One can wrap a curve around the torus T^2 by letting $\phi = A\theta$ for some constant A. The curve or surface can be illuminated in 4-space, or else projected to 3-space and then illuminated there. Illustration 1 (top row) shows the result. Notice, especially, how uniformly bright the case $k=1$, $n=4$ is.

In order to understand the brightness phenomenon, first suppose there are light sources uniformly distributed in all directions. How bright is a point $\mathbf{p}$ on a surface or a curve? The answer requires integrating the illumination term over all directions of incoming light. In n-space, these directions cover the unit $(n\text{-}1)$-sphere.

3.1 Uniform Illumination of a Surface in 3-space

To integrate the uniform illumination of a point on a surface, let the tangent space $\mathbf{T}$ be the xz-plane and let the light vectors fill a unit sphere. The sphere S^2 has the following parametrization and area element dS^2.

$$(x,\, y,\, z) \;=\; (\sin\phi\cos\theta,\;\; \sin\phi\sin\theta,\;\; \cos\phi)$$

$$dS^2 = |\sin\phi|\, d\phi\, d\theta$$

The total area $A(S^2)$ of the sphere is 4π. The area-averaged diffuse illumination $I^{2,\,3}$ at $\mathbf{p}$ (with $k=2$, $n=3$) is given by

$$I^{2,\,3} = \frac{1}{A(S^2)}\, k_d\, I_{source} \int\limits_{\mathbf{L}\,\in\, S^2} \sin a(\mathbf{L}, \mathbf{L_T})\, dS^2$$

The constants k_d and I_{source} will clutter the ensuing calculations; it is convenient to just ignore them (by assuming they are both equal to 1, say). The rest of the computations follow this convention.

Evaluating the integral requires finding an expression for $\sin a$. It is easier to first find $\cos^2 a(\mathbf{L}, \mathbf{L_T}) = \mathbf{L} \cdot \mathbf{L_T} \,/\, \|\mathbf{L_T}\|$. If $\mathbf{L} = (x,\, y,\, z)$ then $\mathbf{L_T} = (x,\, 0,\, z)$. The sine can be computed from the cosine as

$$\cos^2 a(\mathbf{L}, \mathbf{L_T}) = 1 - \sin^2\theta\,\sin^2\phi$$

$$\sin a(\mathbf{L}, \mathbf{L_T}) \;=\; |\sin\theta\,\sin\phi|$$

The total illumination for a point on a surface is therefore

$$I^{2,\,3} = \frac{1}{4\pi} \int\limits_{\phi=0}^{\pi} \int\limits_{\theta=0}^{2\pi} |\sin\theta\,\sin\phi|\;|\sin\phi|\, d\theta\, d\phi = 0.5$$

3.2 Uniform Illumination of a Curve in 3-space

Compare the value $I^{2,\,3}$ to the average illumination of a point on a 1-dimensional curve whose tangent lies in the $(0,\,0,\,1)$-direction. The area-averaged illumination $I^{1,\,3}$ is given by the integral

$$I^{1,\,3} = \frac{1}{A(S^2)} \int\limits_{\mathbf{L}\,\in\, S^2} \sin a(\mathbf{L}, \mathbf{L_T})\, dS^2$$

The light's tangent component is $\mathbf{L_T} = (0,\, 0,\, z)$, so the sine can be easily calculated from the cosine.

$$\cos a(\mathbf{L}, \mathbf{L_T}) = |\cos\phi|$$

$$\sin a(\mathbf{L}, \mathbf{L_T}) = |\sin\phi|$$

The total illumination for a point on a curve is therefore

$$I^{1,\,3} \;=\; \frac{1}{4\pi} \int\limits_{\phi=0}^{\pi} \int\limits_{\theta=0}^{2\pi} |\sin\phi|\;|\sin\phi|\, d\theta\, d\phi$$

$$=\; \frac{1}{4\pi}\, 2 \int\limits_{\phi=0}^{\pi/2} \int\limits_{\theta=0}^{2\pi} \sin^2\phi\; d\theta\, d\phi = \frac{\pi}{4} \;\approx\; 0.785$$

The point is nearly 60% brighter just because the curve has a lower dimension than the surface does.

3.3 Uniform Illumination of a Curve in 4-space

If the curve is in 4-space, the point becomes brighter still. The 3-sphere S^3 has the following parametrization and volume element.

$$(x,\, y,\, z,\, w) \;=\; (\sin\chi\,\sin\phi\cos\theta,\; \sin\chi\,\sin\phi\sin\theta,\; \sin\chi\,\cos\phi,\; \cos\chi)$$

$$dS^3 = |\sin\phi\,\sin^2\chi|\, d\theta\, d\phi\, d\chi$$

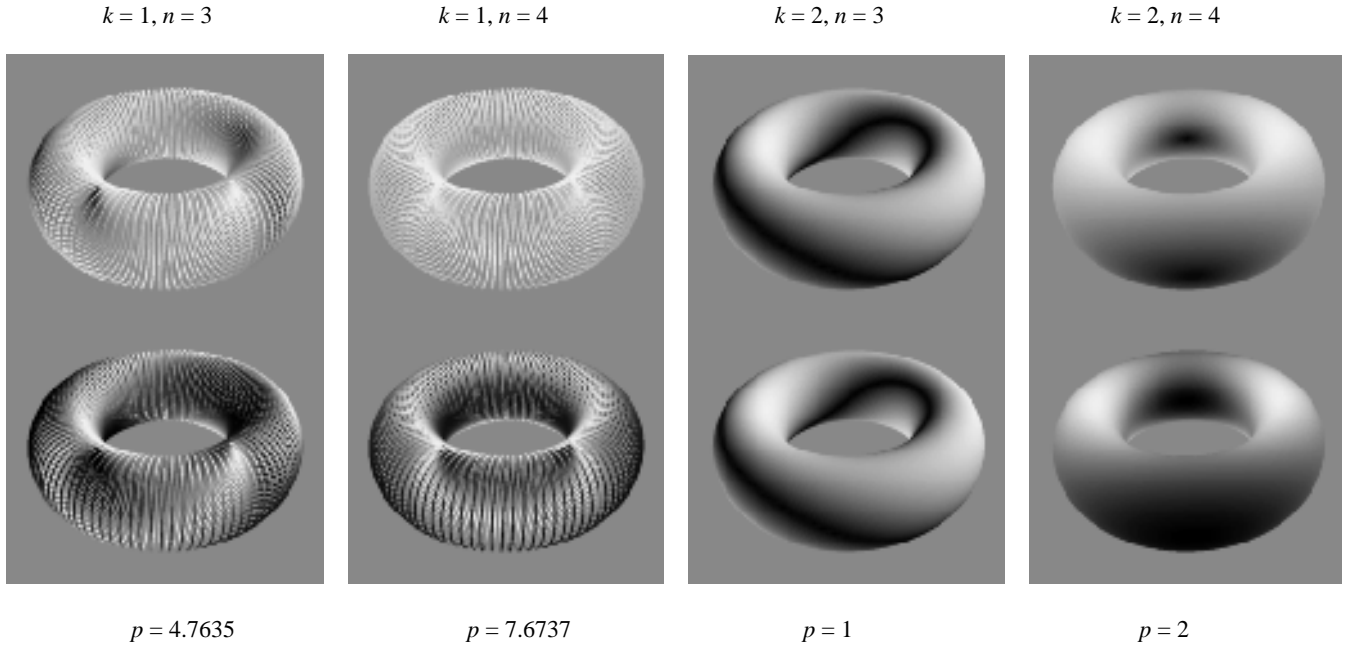| $k = 1, n = 3$ | $k = 1, n = 4$ | $k = 2, n = 3$ | $k = 2, n = 4$ |

| $p = 4.7635$ | $p = 7.6737$ | $p = 1$ | $p = 2$ |

Illustration 1. Local diffuse illumination (without self-shadowing) of a k-manifold in Euclidean n-space. The infinite point-source light vector in these images has direction (0.7 0.6 0.38) in 3-space and (0.7 0.6 0.38 0.0) in 4-space. The upper set of images use the diffuse model of equation (1). The bottom set compensates for excess brightness by using equation (5) with an exponent p as in Table 1.

The total "surface area" $A(S^3)$ of the 3-sphere is $2\pi^2$. If the tangent is aligned with the $(0, 0, 0, 1)$ direction, the uniformly-lit point **p** has an area-averaged intensity which is calculated as follows.

$$I^{1,4} = \frac{1}{A(S^3)} \int_{\mathbf{L} \in S^3} \sin a(\mathbf{L}, \mathbf{L_T}) \, dS^3$$

$$= \frac{1}{2\pi^2} \int_{\chi=0}^{\pi} \int_{\phi=0}^{\pi} \int_{\theta=0}^{2\pi} |\sin \chi| \, |\sin \phi \sin^2 \chi| \, d\theta \, d\phi \, d\chi = \frac{8}{3\pi} \approx 0.849$$

Similar calculations show that $I^{1,2} = 2/\pi \approx 0.673$ (a curve illuminated in 2-space), and $I^{2,4} = 2/3 \approx 0.667$ (a surface illuminated in 4-space).

3.4 Compensating for Excess Brightness

Why does the average reflected intensity of a manifold increase with the dimension of the space that the manifold occupies? Consider a k-manifold with codimension greater than k. For most light vectors **L**, the large-dimensional normal space **N** is closer to **L** than the k-dimensional tangent space **T** is. Light vectors that are in, or near, the normal space make a point look bright, so most light vectors reflect brightly when the codimension is large. Conversely, if the codimension is smaller than k most light vectors are closer to **T** than they are to **N**: a point is likely to look dim.

It is not enough simply to adjust the diffuse coefficient k_d to compensate for the codimension. Consider what it means for the average illumination to approach the limit of 1: the integrand is bounded above by 1, so it must in fact attain that bound almost everywhere. In almost every direction that light shines, it brightly illuminates almost all of the manifold.

Probably no one is very interested in illuminating a flat object using infinitely many point-light sources distributed uniformly in all directions. The typical situation is complementary to it: there may be a single light source, but the manifold's tangents vary continuously over many (if not all) directions. The visual result is generally the same as the theory predicts: a manifold becomes more uniformly bright when its codimension increases.

A simple way to increase the contrast is to exponentiate using a power $p(k, n)$. This changes the diffuse term in equation (1) to be

(5) $$I_{comp} = k_d I_{source} \sin^{p(k, n)} a(\mathbf{L}, \mathbf{L_T})$$

The brightness is thereby balanced so that a k-manifold in n-space approximates the contrast displayed by a surface in 3-space. Whereas the diffuse model could be derived from physical principles, there is no physical motivation for this tactic of exponentiation. Its merits are that it opposes the tendency for large codimensions to increase the average brightness of a manifold, and that it yields an integrable expression for the average illumination of a point on a manifold. The only difficulty is in choosing a suitable value of the exponent $p(k, n)$.

It is natural to set $p(2, 3) = 1$ since surface-shading in 3-space is the standard for visual comprehension. For other values of k and n, one proceeds by comparing the averaged integrated intensities $I^{k, n}$ to the averaged integrated intensities $I^{2, 3}$ under the new compensating model of equation *(5)*, finding a value of $p(k, n)$ that makes them equal. The integration is somewhat laborious even for low dimensions, so it is relegated to the appendix. The results are summarized in Table 1, and are applied in Illustration 1 (bottom row). As evidence that this normalization technique works, note that the images on the bottom row of Illustration 1 all look very similar in the amount of brightness and contrast they exhibit.
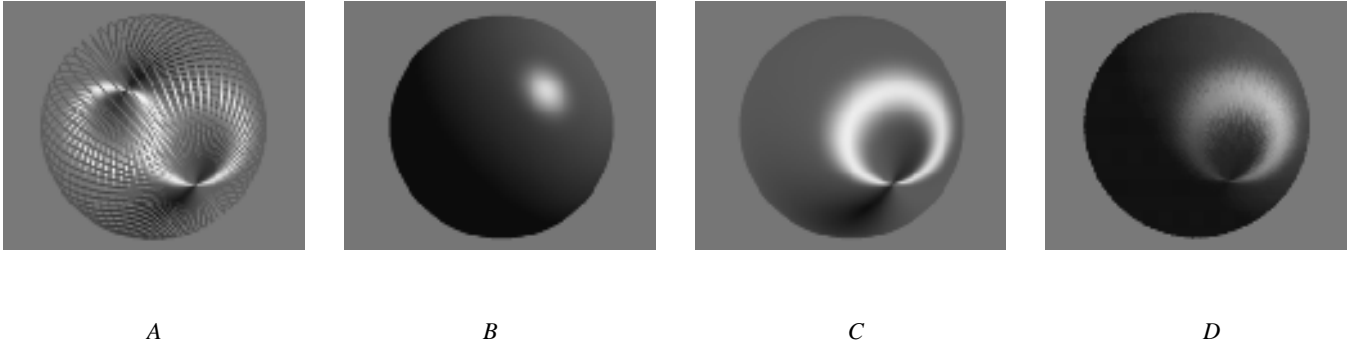
A	B	C	D

*Illustration 2. Different vector spaces can combine illumination effects. (A) Integral curves (of codimension 2) through a vector field **V** on a sphere. (B) The sphere S^2 (of codimension 1) with self-shadowing. (C) Illuminating all of **V**, neglecting any self-shadowing from S^2. (D) Illuminating **V**, conditioned by the surface normals of S^2 according to equation (6).*

	$n = 2$	$n = 3$	$n = 4$
$k = 1$	$p = 2$	$p = 4.7635$	$p = 7.6737$
$k = 2$	_____	$p = 1$	$p = 2$

Table 1. Values of the power p used by equation (5) for compensated diffuse illumination of a k-manifold in n-space.

4 Mixing Dimensions for Global Effects

This section describes how the large-codimension model for illumination (equations *1* and *2*) can be used to render anisotropic reflectors and furry surfaces. These two examples exhibit a mix of diverse codimensions: 1-dimensional grooves on a 2D surface, or 1-dimensional fibers protruding from a 2D surface. The solution presented here applies to other combinations of codimensions as well.

A manifold may be supplied with one or more vector fields. For example, an isosurface of constant pressure in a fluid flow might possess 1D velocity vectors at every point together with a 2D tangent plane. If vector spaces of different dimensions are associated with a point, one is free to select which space will participate in the lighting calculation. In the case of the pressure-surface, the tangent space reflects like a 2-manifold, whereas the velocity field reflects like a 1-manifold at each sample point.

4.1 Anisotropy: Inheritance of Self-shadowing

Section 2.2 noted that a manifold of codimension 1 enjoys the special property of possessing, at most, two sides. The local illumination model can thus simulate the "global" effect of self-shadowing. Consider a surface *M* with a 2D tangent space **T** and a 1D vector field **V** in 3-space. A point **p** in *M* is in shadow if its outward normal aims away from the light source. With the light vector directed away from the source, $-\mathbf{N} \cdot \mathbf{L}$ is negative for a self-shadowed point. Assigning a unique normal vector is only possible when **T** (of codimension 1) governs the illumination, not **V** (of codimension 2). That is unfortunate when one desires to use **V**, since self-shadowing enhances the fidelity of a rendered image.

The remedy is to let **V** inherit the quantity (namely $\mathbf{N} \cdot \mathbf{L}$) that informs the model of self-shadowing. To illuminate **p** using **V**, the reflection terms arising from **V** are conditioned by the clamped cosine term arising from the 2-dimensional space **T**:

$$(6) \qquad I_{conditioned} \ = \ (\text{clamp}(\,-\mathbf{N} \cdot \mathbf{L}\,)) \, (I_{diffuse} + I_{specular})$$

The diffuse and specular terms are calculated using equations (*1*) and (*3*) for the vector space of high codimension. The vector space of codimension 1 is consulted in order to provide the normal vector that offers a global effect (self-shadowing).

Illustration 2 shows various renderings of a sphere in 3-space endowed with a vector field **V** which is tangent to the sphere and aligned in "north-south" directions. Intuitively, this is like a satin ball used as a Christmas ornament. The satin fibers are integral curves (of codimension 2) through **V**. The material properties are defined by the coefficients $k_{ambient} = 0.1$, $k_d = 0.5$, $k_s = 1.0$ and an intrinsic color $(r, g, b) = (1.0, 0.25, 0.30)$. In the first image, *A*, the Kajiya-Hanson model is applied to integral curves through **V**. In the second image, *B*, the surface is illuminated in the usual way, using the tangent space **T** (of codimension 1) and applying the clamp function to $-\mathbf{N} \cdot \mathbf{L}$ to produce self-shadowing. The third image, *C*, shows the result of illuminating according to **V** and interpolating the result over the polygon mesh (but without the benefit of any such dot product $-\mathbf{N} \cdot \mathbf{L}$ to be clamped). The fourth image, *D*, shows the result of conditioning the solution of image *C* according to equation (6) in order to produce the global effect of self-shadowing.

4.2 Fur: Attenuation by a Vector Field

Equation (*6*) shows how the tangent space **T** can be used to simulate global effects in illuminating the vector **V** space over **p**. The roles of **T** and **V** can be reversed as well. In the "satin ball" example, each fiber $\mathbf{V_p}$ lay in the tangent space $\mathbf{T_p}$ at each point **p**. That is, each fiber was constrained to fit the underlying surface. But that need not be the case. Real, physical fibers may protrude outward from a surface, partially shadowing the surface from light. It is possible to simulate this global effect by attenuating the light that reaches $\mathbf{T_p}$. A simple model for attenuation requires the incoming energy to decay exponentially with the distance that it passes through an absorbing medium of density ρ (ρ being

A   B   C
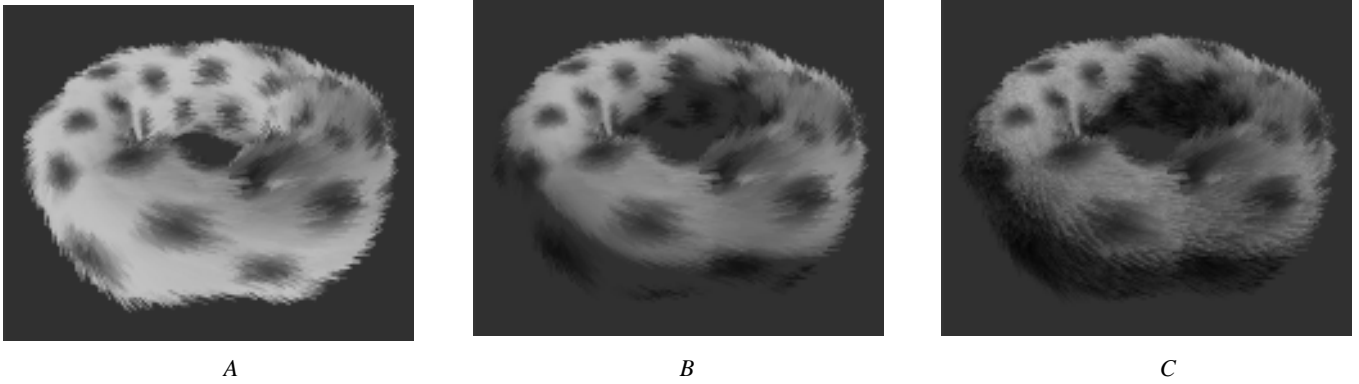
Illustration 3. A bumpy torus and a textured vector field protruding from it are illuminated with the mixed model. In A, the vector field is illuminated in isolation using equation (1). In B, the underlying surface conditions the illuminated vector field according to equation (6), producing self-shadowing. In C, self-shadowing is augmented with attenuation using equation (8).

between 0 and 1). That medium is the vector field **V**. The light generally passes through the medium twice: once on the way in, and again on its reflected path back out. In either case, the distance that it passes through the medium is given by

(7) $\qquad\qquad d = h / \sin a$

where h is the height (perpendicular to $\mathbf{T_p}$) of a fiber at **p** and a is the angle between $\mathbf{T_p}$ and the light (entering) or between $\mathbf{T_p}$ and the eye (exiting). The attenuated light therefore has energy I_{atten} given by

(8) $\qquad\qquad I_{atten} = I_{source}\,(1\text{-}\rho)^d$

Illustration 3 shows how conditioning and attenuating the illumination of a mixed-dimensional object can yield convincing results on a torus with radii $r_1 = 1.5$, $r_2 = 0.75$. The fibers have a material property defined by the coefficients $k_{ambient} = 0.1$, $k_d = 0.9$, $k_{spec} = 0.1$, and $\rho = 0.02$. In image *A*, the vector fields are illuminated according to the local model of equation (*1*). In image *B*, the vectors are conditioned according to equation (*6*) using the surface normals of the underlying torus. In image *C*, the light at the base of each vector is both conditioned and attenuated. Light at the tip is conditioned only. The difference between *B* and *C* is especially visible near the shadow terminator: the fibers blend together in *B* but are individually visible in *C*.

5   Running Time

The most complex image (Illustration 3*C*) contains 2,408,448 line segments. The fibers are procedurally generated from jittered interpolated samples on the 128×128 mesh of the torus. The image was rendered on a Silicon Graphics Indigo[2] (75MHZ R4400 MIPS processor, 16KB caches, and 128MB memory) with Extreme graphics, which draws about 150,000 de-aliased Gouraud-shaded vectors per second. The image required 2 seconds to compute the illumination on the mesh, 20 seconds to generate the fibers, and 16 seconds to draw the fibers. This compares very favorably to image-order (ray-traced) solutions [Perlin89, Kajiya 93].

6   Conclusions

This paper considers an idealized subclass of surface reflectivities; namely, a simple superposition of ideal diffuse and ideal specular,

restricted to point light sources. It shows how the diffuse and specular reflection of a k-manifold in n-space can be derived by appealing to four basic principles:

- The re-radiated light's intensity varies with the energy delivered by the incident beam;
- The manifold re-radiates isotropically;
- Light travels in paths of locally minimal length; and
- The specular reflection is maximized exactly when the view vector nears the reflection space.

A manifold generally becomes brighter in larger dimensions. This paper describes how to ameliorate the effect by exponentiating part of the diffuse term. The exponent can be found via an averaged integration so that the total contrast matches that of a surface in 3-space.

The lighting model can be enhanced to produce global effects. A vector space of large codimension can inherit self-shadowing from an underlying vector space of codimension 1, creating realistic anisotropically reflective surfaces in 3-space. Light may be attenuated as it passes through one vector space to reach another to create effective images of a furry surface in 3-space. The techniques may also be applied to visualize vector fields over manifolds of higher dimension in large-dimensional spaces.

Acknowledgments

References

Anjyo92 Anjyo, Ken-ichi, Yoshiaki Usami, and Tsuneya Kurihara, "A Simple Method for Extracting the Natural Beauty of Hair," *SIGGRAPH 92 Proceedings*. Vol. 26, No. 2, pp. 111-120.

Banks92 Banks, David C., "Interactive Manipulation and Display of Two-Dimensional Surfaces in Four-Dimensional Space,"

1992 Symposium on Interactive 3D Graphics. ACM Press, pp. 197-207.

Banks93   Banks, David C., *Interacting With Surfaces in Four Dimensions Using Computer Graphics*. Doctoral Dissertation. TR93-011, Department of Computer Science, University of North Carolina at Chapel Hill, Chapel Hill, NC 27599. March 1993, pp. 43-48.

Blinn77   Blinn, James, "Models of Light Reflection for Computer Synthesized Pictures," *SIGGRAPH 77 Proceedings*. Vol. 11, No. 2, pp. 192-198.

Carey87   Carey, Scott, Robert Burton, and Douglas Campbell, "Shades of a Higher Dimension," *Computer Graphics World*, October 1987, pp. 93-94.

Foley90   Foley, James, Andries van Dam, Steven Feiner, and John Hughes, *Computer Graphics: Principles and Practice* (2nd edition). Addison-Wesley Publishing Company, 1990. Chapter 16.

Fuchs89   Fuchs, Henry, John Poulton, John Eyles, Trey Greer, Jack Goldfeather, David Ellsworth, Steve Molnar, Greg Turk, Brice Tebbs, and Laura Israel, "Pixel-Planes 5: A Heterogeneous Multiprocessor Graphics System Using Processor-Enhanced Memories," *SIGGRAPH 89 Proceedings*. Vol. 23. No.3, pp. 79-88.

Hanrahan93   Hanrahan, Pat and Wolfgang Krueger, "Reflection from Layered Surfaces due to Subsurface Scattering." *SIGGRAPH 93 Proceedings*. pp. 165-174.

Hanson93   Hanson, Andrew J., "Interactive Visualization Methods for Four Dimensions," *Visualization 93 Proceedings*. pp. 196-203.

Hanson91   Hanson, Andrew J. and P. A. Heng, "Visualizing the Fourth Dimension Using Geometry and Light," *Visualization 91 Proceedings*. Vol. 21, No. 3, pp 321-328.

He92   He, Xiao, Patrick Heynen, Richard Phillips, Kenneth Torrance, David Salesin, and Donald Greenberg., "A Fast and Accurate Light Reflection Model." *SIGGRAPH 92 Proceedings*. Vol. 26, No. 2, pp. 253-254.

Horn89   Horn, Berthold and Michael Brooks, ed., *Shape From Shading*. MIT Press, 1989.

Kajiya85   Kajiya, James T., "Anisotropic Reflection Models," *SIGGRAPH 85 Proceedings*. Vol. 19, No. 3, pp. 15-21.

Kajiya86   Kajiya, James T., "The Rendering Equation," *SIGGRAPH 86 Proceedings*. Vol. 20, No. 4, pp. 143-150.

Kajiya89   Kajiya, James T. and Timothy L. Kay, "Rendering Fur With Three Dimensional Textures," *SIGGRAPH 89 Proceedings*. Vol. 23, No. 3, pp. 271-280.

Kajiya93   Kajiya, James T., Personal communication. Dec. 22, 1993.

LeBlanc91   LeBlanc, A., R. Turner, and D. Thalmann, "Rendering Hair Using Pixel Blending and Shadow Buffers," *Journal of Visualization and Computer Animation*, Vol. 2, No. 3, August 1991.

Miller88   Miller, Gavin, "From Wire-Frames to Furry Animals," *Proceedings of Graphics Interface 88*. pp. 138-145.

Perlin89   Perlin, Ken, "Hypertexture," *SIGGRAPH 89 Proceedings*. Vol. 23, No. 3, pp. 253-262.

Poulin90   Poulin, Pierre and Alain Fournier, "A Model for Anisotropic Refelection," *SIGGRAPH 90 Proceedings*. Vol. 24, No. 4, pp. 272-282.

Rogers85   Rogers, David F., *Procedural Elements for Computer Graphics*. McGraw-Hill Book Company, 1985, chapter 5.

Steiner87   Steiner, K. Victor and Robert Burton, "Hidden Volumes: The 4th Dimension," *Computer Graphics World*, February 1987, pp. 71-74.

Ward92   Ward, Gregory J., "Measuring and Modeling Anisotropic Reflection," *SIGGRAPH 92 Proceedings*. Vol. 26, No. 2, pp. 265-272.

Watanabe92   Watanabe, Yasuhiko and Yasuhito Suenaga, "A Trigonal Prism-Based Method for Hair Image Generation," *IEEE Computer Graphics and Applications*. January 1992, Vol. 12, No. 1, pp. 47-53.

Westin92   Westin, Stephen H., James Arvo, and Kenneth Torrance, "Predicting Reflectance Functions from Complex Surfaces." *SIGGRAPH 92 Proceedings*. Vol. 26, No. 2, pp. 255-264.

Appendix

This section calculates the area-averaged compensated illumination for various combinations of k and n. This amounts to solving the equation

$$I^{k,n}_{comp} = \frac{1}{A(S^{n-1})} \int_{\mathbf{L} \in S^2} \sin^p a(\mathbf{L}, \mathbf{L_T}) \, dS^{n-1} = I^{2,3} = \frac{1}{2}$$

for the exponent $p = p(k, n)$. The definite integral of the exponentiated sine can be looked up in a table: it involves a quotient of gamma functions. Section 3 gives the area element and the volume element for S^2 and S^3. These quantities have been substituted in the following integrals.

$$I^{1,2}_{comp} = \frac{1}{2\pi} 4 \int_{\theta=0}^{\pi/2} \sin^{p+1}\phi \, d\theta \, d\phi \quad = \boxed{\frac{1}{\sqrt{\pi}} \frac{\Gamma\left(\frac{p+2}{2}\right)}{\Gamma\left(\frac{p+3}{2}\right)}}$$

$$I^{1,3}_{comp} = \frac{1}{4\pi} 2 \int_{\phi=0}^{\pi/2} \int_{\theta=0}^{2\pi} \sin^{p+2}\phi \, d\theta \, d\phi \quad = \boxed{\frac{\sqrt{\pi}}{2} \frac{\Gamma\left(\frac{p+3}{2}\right)}{\Gamma\left(\frac{p+4}{2}\right)}}$$

$$I^{1,4}_{comp} = \frac{1}{2\pi^2} 4 \int_{\chi=0}^{\pi/2} \int_{\phi=0}^{\pi/2} \int_{\theta=0}^{2\pi} \sin^{p+2}\chi \sin\phi \, d\theta \, d\phi \, d\chi$$

$$= \boxed{\frac{1}{2\sqrt{\pi}} \frac{\Gamma\left(\frac{p+3}{2}\right)}{\Gamma\left(\frac{p+4}{2}\right)}}$$

$$I^{2,4}_{comp} = \frac{1}{2\pi^2} 4 \int_{\chi=0}^{\pi/2} \int_{\phi=0}^{\pi/2} \int_{\theta=0}^{2\pi} \sin^{p+2}\chi \sin^{p+1}\phi \, d\theta \, d\phi \, d\chi$$

$$= \frac{\Gamma\left(\frac{p+2}{2}\right)}{\Gamma\left(\frac{p+3}{2}\right)} \frac{\Gamma\left(\frac{p+3}{2}\right)}{\Gamma\left(\frac{p+4}{2}\right)} = \frac{\Gamma\left(\frac{p+2}{2}\right)}{\Gamma\left(\frac{p+4}{2}\right)} = \boxed{\frac{2}{p+2}}$$

To find $p(k, n)$, one merely sets $I^{k,n}_{comp} = 1/2$ and solves for p. The numerical solutions are listed in Table 1.