

Quantum Break in High Intensity Gravitational Wave Interactions

R. F. Sawyer¹

Department of Physics, University of California Santa Barbara, Santa Barbara, California 93106, USA



(Received 14 November 2019; revised manuscript received 11 December 2019; accepted 10 February 2020; published 10 March 2020)

The lowest order amplitudes for [graviton + graviton \rightarrow photon + photon] lead to cross sections of order G^2 , where G is the gravitational constant. These are too small to be of any interest. However, in dense clouds of pure gravitons there are collective effects utilizing these same amplitudes that under the right circumstances can lead to copious production of photons.

DOI: 10.1103/PhysRevLett.124.101301

Confirming the quantum nature of the gravitational field puts us in the bind: (i) The cross sections for individual gravitons on anything are too small to be observationally accessible. (ii) Coherent many body systems of gravitons, as in a wave detectable by LIGO, are essentially classical fields, at least in current descriptions. And their detectable interactions are classical interactions.

Here, we shall exhibit processes that escape these constraints. They combine the advantages of explicit factors of N_g from coherence, where N_g is the number of gravitons in a mode, with an essential dependence on the quantization of gravity; and they depend linearly on amplitudes, not on cross sections.

The key here will be the fact that there exists a self-acting mechanism that can transform coherent classical clouds of gravitons into a similar construct of photons, and that produces a timescale proportional to G^{-1} , rather than to G^{-2} (as from cross sections). Some elements are the following. (i) When we resolve the initial state into plane wave quanta that comprise the initial state (e.g., gravitons), the 3-momenta of the individual particles comprising the final state (photons and gravitons) are the same as in the initial state; these are the final configurations that can grow exponentially in time. (ii) The spatial and angular distributions within the initial graviton system must be such that, at least in some region, a tiny coherent mixing in amplitude of electromagnetic quanta with gravitons would grow exponentially in time, this in a conventional framework of “mean-field” theory (or “essentially classical” theory). (iii) In the literature there are a number of examples of other systems at similar unstable equilibrium states in mean-field theories, with mechanisms whereby such instabilities

are activated by quantum effects. They include Bose condensates of atoms in wells [1–3]; polarization exchange processes in colliding photon beams [4,5]; axion decay into photons [6]; cosmology [7,8], and the realization of a “quantum speed limit” by a certain spin lattice with infinite range “ $x - y$ ” model couplings [9]. There is also a relation to “fast neutrino flavor exchange” in the neutrino-sphere region in the supernova [10–14], where the implicit \hbar enabling the break is in the neutrino mass term.

The two “quantum break” examples cited above that involve continua of momentum states (the photon cloud and the neutrino cloud cases) have a common qualitative feature that transcends their difference in statistics: they can be described as a transition in which momenta of a swarm of particles stay exactly the same, but in which some discrete quality that we call polarization or flavor gets exchanged from beam to beam. For high initial occupancies, N , a typical scenario involves an extended gestation period followed by a very sudden transformation (in our case gravitons into photons). This behavior underlies the designation “quantum break,” and the basic calculation is of a quantum break time T_B .

The basic amplitude.—In all of the following, “gr” will stand for “graviton”. We need the nonvanishing invariant amplitudes for processes [gr + $\gamma \rightarrow$ gr + γ] as functions of the Mandelstam invariant variables \mathcal{S} , \mathcal{T} , \mathcal{U} and the helicities [15–17]. From Eq. (49) in [16] we have

$$\begin{aligned} F_{2,1;2,1} &= F_{-2,-1;-2,-1} = i8\pi G \frac{\mathcal{S}^2}{\mathcal{T}}, \\ F_{2,-1;2,-1} &= F_{-2,1;-2,1} = i8\pi G \frac{\mathcal{U}^2}{\mathcal{T}}. \end{aligned} \quad (1)$$

Here, \mathcal{T} is the square of the 4-momentum transfer, initial to final photon. Roughly speaking the denominators \mathcal{T} come from single gravitonlike exchange, there existing a triple graviton vertex as well as a graviton-photon vertex. Crossing in the form of $\mathcal{T} \leftrightarrow \mathcal{S}$, $\mathcal{U} \rightarrow \mathcal{U}$ and the use of the

Published by the American Physical Society under the terms of the Creative Commons Attribution 4.0 International license. Further distribution of this work must maintain attribution to the author(s) and the published article's title, journal citation, and DOI. Funded by SCOAP³.

helicity crossing relations from Eq. (12) in [16], gives us corresponding amplitudes for $[\text{gr} + \text{gr} \leftrightarrow \gamma + \gamma]$,

$$\begin{aligned} A_{-1,1;2,-2} &= A_{1,-1;2,-2} = i8\pi G \frac{\mathcal{T}^2}{\mathcal{S}}, \\ A_{-1,-1;2,2} &= A_{1,1;-2,-2} = i8\pi G \frac{\mathcal{U}^2}{\mathcal{S}}. \end{aligned} \quad (2)$$

For our kinematics $\mathbf{p} + \mathbf{q} \rightarrow \mathbf{p} + \mathbf{q}$ we have $S = 2|\mathbf{p}||\mathbf{q}|(1 - \cos\theta_{\mathbf{p},\mathbf{q}})$.

We shall work out our first example here taking the initial state as two clashing beams of gravitons each with helicity wave function $[|2\rangle + |-2\rangle]2^{-1/2}$. Using the amplitudes (2), we see that this leads to the two photon state

$$\begin{aligned} \mathcal{S}^{-1}\{(\mathcal{T}^2 + \mathcal{U}^2)[|1\rangle + |-1\rangle][|1\rangle + |-1\rangle] \\ + (\mathcal{T}^2 - \mathcal{U}^2)[|1, -1\rangle + |-1, 1\rangle - |1, 1\rangle - |-1, -1\rangle]\}. \end{aligned} \quad (3)$$

We note that the second line in (3) will vanish under Bose symmetrization. Thus, with this choice of initial states we avoid a multichannel calculation in helicity space. (In Sec. V we discuss multichannel calculations in a general way.) Next we note that the dynamics of the long term coherence necessary for the break into γ 's requires either $\mathbf{p}, \mathbf{q} \rightarrow \mathbf{p}, \mathbf{q}$ or $\mathbf{p}, \mathbf{q} \rightarrow \mathbf{q}, \mathbf{p}$ in the basic two-particle reaction. This requires either $(\mathcal{T} = 0, \mathcal{U} = -S)$, or $(\mathcal{T} = -S, \mathcal{U} = 0)$. From (3) we obtain the value $i8\pi G\mathcal{S}$ for the amplitude in either case. We now turn this into an effective Hamiltonian for computation of time development of multiparticle systems. The lab system that we choose for this purpose should be dictated by the distribution of masses in the system that generates the initial gravitational waves. Here, we think of an extended system, such as a black hole binary roughly at rest in a particular lab system. Through its own instabilities it produces gravitational waves, which in our picture interact with each other to produce photons. The system itself will be contained in a periodic box of size much greater than the particle wavelengths, volume V , and also little larger than the light travel distance over the period that we need to follow it to see transitions. Of course the number of particles contained will be enormous. The effective Hamiltonian for the graviton pair transition to a photon pair, with momenta p and q , then is given by

$$\begin{aligned} H_{g,\gamma} &= \frac{2\pi G\mathcal{S}}{|\mathbf{p}||\mathbf{q}|V} [a^\dagger b^\dagger cd + abc^\dagger d^\dagger] \\ &= \frac{2\pi G\mathcal{S}}{|\mathbf{p}||\mathbf{q}|V} [\sigma_+ \tau_+ + \sigma_- \tau_-], \end{aligned} \quad (4)$$

in terms of the operators that create and annihilate single particle momentum states, a and b for the single gravitons with respective momenta \mathbf{p} and \mathbf{q} ; c and d similarly for the

photons; or, in the second form, in terms of the operators $\sigma_+ = a^\dagger c$, which changes species for the \mathbf{p} beam, and $\tau_+ = b^\dagger d$ that refers to \mathbf{q} . Note that the frame dependence of the other factors is entirely in $(|\mathbf{p}||\mathbf{q}|V)^{-1}\mathcal{S} = 2(1 - \cos\theta_{p,q})V^{-1}$, peaked in favor of opposing momenta and vanishing for parallel momenta; and that $\theta_{p,q}$ is not a scattering angle, but rather an angle of incidence in the lab system.

Our most primitive picture will be one in which somewhere in the interior of the turmoil two high occupancy beams, each with high occupancy $N = nV$, meet head-on, $\cos\theta_{p,q} = -1$. Multiple beam solutions allowing energy and angular distributions are discussed in Sec. V. The wave function for the state with N gravitons in each of the \mathbf{p} and \mathbf{q} beams now lies in an $N + 1$ dimensional space with basis vectors that describe states with $N - k + 1$ gravitons and $k - 1$ photons in each of the two beams, where $k = 1, 2, \dots, N + 1$. The nonvanishing matrix elements that enter are

$$\begin{aligned} \langle k - 1 | \sigma_+ \tau_+ | k \rangle &= k(N - k + 1), \\ \langle k | \sigma_- \tau_- | k - 1 \rangle &= k(N - k + 1). \end{aligned} \quad (5)$$

We solve for the wave function $|\Psi(t)\rangle$ in the $N + 1$ dimensional subspace and calculate

$$\zeta(t) = N^{-1} \langle \Psi(t) | (1/2 + \sigma_3/2) | \Psi(t) \rangle. \quad (6)$$

In Fig. 1 the dashed curves plot the evolution of $\zeta(t)$, the probability of an initial graviton to remain a graviton, as a function of scaled time s for values $N = 64, 256, 1024$, where,

$$s = 8\pi Gnt, \quad (7)$$

and $n = N/V$ the $t = 0$ number density of gravitons in either beam.

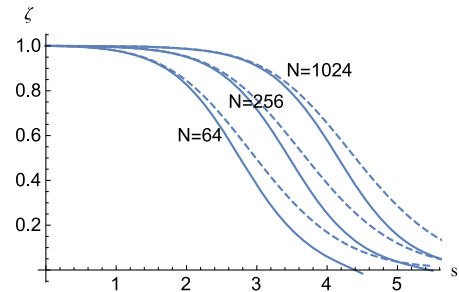


FIG. 1. Dashed curves: retention probability $\zeta(s)$ in the wave function solution. Solid curves: the same in the corresponding MMF solutions, with their N values identifiable by their coalescence with the corresponding dashed curves for small scaled time s . The value $\zeta = 1$ indicates 100% gravitons.

The N 's in this calculation are pathetically small; but the plotted results are of prime importance in checking the mean-field methods to come.

Definition of a modified mean-field approximation.—We return to the bilinears defined earlier, $\vec{\sigma}$ for the \mathbf{p} stream and $\vec{\tau}$ for the \mathbf{q} stream, and define $X = \sigma_+ \tau_+$, $Y = \sigma_+ \sigma_- + \tau_+ \tau_-$. We rename $\sigma_3 = \tau_3 = Z$ (their equality being chosen in an initial condition, and then maintained throughout). The operators $\vec{\sigma}$ and $\vec{\tau}$ have the commutation relations of Pauli matrices, but actually are angular momentum matrices (times 2) of dimension N ; we use only their commutators in the following. The Hamiltonian is now

$$H_{g,\gamma} = \frac{8\pi G}{V} [X + X^\dagger]. \quad (8)$$

Using commutators to obtain the Heisenberg equations of motion we obtain

$$\begin{aligned} i\dot{X} &= \frac{8\pi G}{V} (ZY - Z^2), \\ i\dot{Y} &= \frac{16\pi G}{V} Z(X^\dagger - X), \\ i\dot{Z} &= \frac{16\pi G}{V} (X - X^\dagger). \end{aligned} \quad (9)$$

The Z^2 term in the first equation comes from a second commutation to get operators into the correct order; implicitly it carries an additional power of \hbar and is the source of the quantum break to come. Our modified mean-field method (MMF) is to replace each of the operators X , Y , Z in (9) by its expectation value in the medium, thus implicitly assuming that, e.g., $\langle ZY \rangle = \langle Z \rangle \langle Y \rangle$.

Next, we do a rescaling in which each one of the single particle operators a , b , c , d , a^\dagger , ... is redefined by extracting a factor of $N^{1/2}$, so that $x = X/N^2$, $y = Y/N^2$, $z = ZN$ and at the same time defining $n = N/V$, the number density, and the scaled time variable s according to (7).

The rescaled equations are

$$\begin{aligned} i \frac{dx}{ds} &= zy - z^2/N, \\ i \frac{dy}{ds} &= 2z(x^\dagger - x), \\ i \frac{dz}{ds} &= 2(x - x^\dagger). \end{aligned} \quad (10)$$

Solutions are shown as solid lines in Fig. 1. The zero-parameter fit of the complete solutions to the MMF solutions over the time required for 20% of the gravitons to transform to photons gives us some degree of confidence in our later use of MMF for astronomically greater numbers of particles, at least at early times. In Fig. 2 we show solutions to (10) for geometrically spaced higher values of N . The equal spacings indicate the behavior of the turnover time $T_B \sim (8\pi Gn)^{-1} \log N$. The comparative suddenness of the transition earns it the designation quantum break.

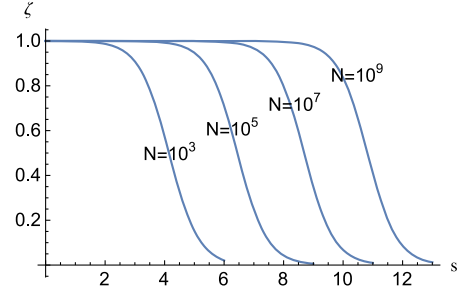


FIG. 2. The same as Fig. 1, except only the MMF solutions, for a series of higher values of N .

Instability condition.—In mean-field language, the turnover in time proportional to G^{-1} , rather than G^{-2} as would have been expected from cross sections, is an instability of the classical equilibrium state with clashing gravitational waves. In the quasistable initial state with $z = 1$, $x = 0$, $y = 0$, the term z^2/N in the x equation, with its implicit \hbar factor, is what drives the instability. Dropping this term, taking $z = 1$ elsewhere in the equations, and looking at now linearized equations for $\Delta[x(s)]$, $\Delta[x^\dagger(s)]$, $\Delta[y(s)]$ we find a 3×3 response matrix with eigenvalues (in units of inverse scaled time) just given by $0, \pm 2$. This gives us exponential behavior that fits the plotted plunges shown in Fig. 2, although, by itself, no hint of the timing of the plunge.

In trading a graviton for a photon we implicitly assumed that when the trade was finished the system energy was unchanged. But if the photon's energy shift from its interactions in the medium is too different from the graviton's (speaking loosely) it would introduce an incoherence that could doom the instability. In our formalism we now need to study the effects of the $\text{gr} + \gamma \rightarrow \text{gr} + \gamma$ on the evolution of our variables X , Y , Z . Here, the singular factor T^{-1} in the scattering amplitudes (1) is a warning, screaming “long range” when “local” is our operational basis for everything. We also require the graviton-graviton scattering amplitude, given in Eq. (40) in [16]. The photon-photon amplitude does not enter as long as we are concentrating on the early time instability, when there are next to no photons. We also need to be aware that the parameter c in the amplitudes given in [16] changes its definition from $i/4$ to $-i/4$ in going from the $[\gamma + \text{gr}]$ case to the $[\text{gr} + \text{gr}]$ case.

We have studied these corrections in depth only for the case of our simplest and most potent configuration of two beams colliding head-on. The new terms in the Hamiltonian in our notation are now proportional to $(a^\dagger a d^\dagger d + b^\dagger b c^\dagger c)$, for the $[\gamma + \text{gr}]$ part and to $(a^\dagger a b^\dagger b + c^\dagger c d^\dagger d)$ for the $[\text{gr} + \text{gr}]$ part. The resulting changes to the evolution equations (9) miraculously cancel between the pieces generated by these two new terms. Thus, we do not need to revisit the instability condition after all, at least for our simplest configuration.

We remark that an ordinary mean-field theory based on the field variables a , b , c , d , rather than on the quartics that

we have employed, also leads to growing modes in a linearized instability analysis. But in this approach nothing happens (to order G) when we start with a pure graviton state. The system is in unstable equilibrium at this classical level.

Multiple beams.—We replace our two beams ($\vec{\sigma}$ and $\vec{\tau}$) by N_b beams $\vec{\sigma}_j$, for $j = 1, \dots, N_b$, at different angles and with the effective interaction

$$H_{\text{eff}} = \frac{4\pi G}{V} \sum_{j,k}^{N_b} [\sigma_+^j \sigma_+^k + \sigma_-^j \sigma_-^k] \lambda_{j,k}, \quad (11)$$

where $\lambda_{j,k} = (1 - \cos \theta_j \cos \theta_k)$ and $\cos \theta_j$'s are uniformly distributed in the interval $\{-1, 1\}$, the best to simulate an isotropy in the whole distribution, if each of the N_b rays has N/N_b occupancy. We define the quartic variables

$$X_{l,m} = \sigma_+^l \sigma_+^m, \quad Y_{l,m} = \sigma_+^l \sigma_-^m. \quad (12)$$

The Heisenberg equations of motion for the system are, after rescaling,

$$i \frac{d}{ds} X_{r,m} = g_1 \left[Z_m \sum_k^{N_b} \lambda_{r,k} Y_{m,k} + Z_r \sum_k^{N_a} \lambda_{m,k} Y_{k,m} - \lambda_{r,m} N^{-1} Z_m Z_r \right], \quad (13)$$

$$i \frac{d}{ds} Y_{r,m} = 2g_1 \left[-Z_m \sum_k^{N_b} \lambda_{k,m} X_{r,k} + Z_r \sum_k^{N_b} \lambda_{k,r} X_{m,k}^* \right], \quad (14)$$

$$i \frac{d}{ds} Z_r = 2g_1 \sum_k^{N_b} \lambda_{r,k} (X_{r,k} - X_{r,k}^*). \quad (15)$$

The time has been scaled here in much the same way as in our original two-beam (σ, τ) model. The total number density n is the same in the two calculations. In the rescaling of the operators X, Y, Z , however, we have used factors of N/N_b where we used N previously. In consequence, the scaled coupling constant became $g_1 = N_b/N$ in (15) instead of unity as before. In Fig. 3 we plot the results for the usual (graviton) retention variable ζ now for fixed $N = 10^7$ as a function of the number of beams in the division, taking the three values $N_b = 3, 9, 27$ (the last of which is the highest that *Mathematica* will take us).

With a finer and finer subdivision (larger N_b) it appears that we would reach a limiting value for the time of the dive, and that it that is in the neighborhood of $1.5 \times$ the time shown in the $N = 10^7$ plot of Fig. 2 for the “two beams head-on” case.

Another use we can make of (13)–(15) is to take the initial state to consist of half of the initial gravitons moving in the $+\hat{z}$ direction and the other half moving in the $-\hat{z}$

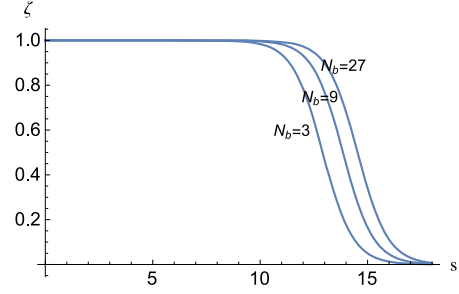


FIG. 3. The same as Fig. 2, but based on (15) with $N_b = 3, 9, 27$, $N = 10^7$.

direction, so that $\lambda_{j,k} = 2$ or zero for all j, k . Suppose that the quanta in the sub-beams are distinguished by momentum magnitudes p_j (or q_j). Looking then at the solutions to (15) where the total initial graviton number N is subdivided into groups with occupancy N/N_b , we might expect that for large values of N_b we would lose big \sqrt{N} factors that are characteristic of the matrix elements of a single annihilation operator in a classical coherent state. Note that in (15) N enters explicitly in the quantum term in the \dot{X} equation, and implicitly in the factor g_1 , whereas N_b enters in g_1 and in the sums. We compute examples with fixed $N = 3 \times 10^6$ and N_b ranging from 2 to 30. Their break curves are absolutely identical over that range. Thus, we can have the same coherent phenomena in flows that are completely fragmented in absolute momentum; with whatever phase relations are obtained among the components. And our claim in the introduction that neutrino clouds can show similar behavior (with the translation $[\gamma, \text{gr}] \rightarrow \text{“flavor”}$) should seem less bizarre.

Discussion.—It may be surprising that, in principle, collisions of high intensity gravitational waves can produce photons on a timescale many orders of magnitude less than that estimated from graviton-graviton cross-section times number density. An unusual feature of the mechanics is how much it likes low frequencies, as first became apparent in the effective Hamiltonian (4), which is completely independent of frequency. Thus, for a given energy density we would get the most action when the wavelengths are longest.

A black hole merger process is thought to be responsible for at least some of the LIGO events, and our first estimate of real-world possibilities takes its numbers from the analysis of Ref. [18], based on the best of the early events. The upshot is that the produced gravitons in a region substantially larger than the horizon size would have time to interact for a time of 10^{-2} s or so, while the mechanisms of this Letter produced photons. During this time we took the average power production in gravitational waves within the region to be 3×10^{56} ergs/s and assumed a wavelength centered at 10^7 cm. Our estimate for a transformation time, given the implied graviton density in the region is then about $10^{-1} \log_{10} N$ s. We miss relevance to this event by a factor of 10 even if the logarithm is ignored. In the above

scenario the direct estimate of the logarithm is about a factor of 30, but the factor will be diminished by the effects noted at the end of Sec. V.

In any case, we do not know if the black hole merger will turn out to be the best venue for our effects. There are many other species of ultraenergetic events out there, it now appears. Probably there are better methods than those we have used here for exploring these problems. If not, much could be done by a group with better access to computational power. In addition, as in any application of our results, there would be a tension between being close enough to strong fields for the production of the high densities of gravitational waves, and far enough away to do estimates based on keeping only the interactions treated in this Letter.

It is a pleasure to thank Mark Srednicki and Arkady Vainshtein for illuminating conversations.

Note added.—Recently, our attention was drawn to the work reported in Refs. [19,20]. The approaches are totally different, and the domains of applicability appear to us to be different, but the central questions are closely related.

-
- [1] A. Vardi and J. R. Anglin, *Phys. Rev. Lett.* **86**, 568 (2001).
 [2] F. Cametti and C. Presilla, *Phys. Rev. Lett.* **89**, 040403 (2002).

- [3] J. Keeling, *Phys. Rev. A* **79**, 053825 (2009).
 [4] R. F. Sawyer, *Phys. Rev. Lett.* **93**, 133601 (2004).
 [5] R. F. Sawyer, *Phys. Rev. A* **89**, 052321 (2014).
 [6] R. F. Sawyer, arXiv:1908.04298.
 [7] G. Dvali and S. Zell, *J. Cosmol. Astropart. Phys.* **07** (2018) 064.
 [8] G. Dvali, C. Gomez, and S. Zell, *J. Cosmol. Astropart. Phys.* **06** (2017) 028.
 [9] R. F. Sawyer, *Phys. Rev. A* **70**, 022308 (2004).
 [10] R. F. Sawyer, *Phys. Rev. D* **79**, 105003 (2009); **72**, 045003 (2005).
 [11] R. F. Sawyer, *Phys. Rev. Lett.* **116**, 081101 (2016).
 [12] S. Chakraborty, R. S. Hansen, I. Izaguirre, and G. Raffelt, *Nucl. Phys.* **B908**, 366 (2016); *J. Cosmol. Astropart. Phys.* **03** (2016) 042.
 [13] I. Izaguirre, G. Raffelt, and I. Tamborra, *Phys. Rev. Lett.* **118**, 021101 (2017).
 [14] B. Dasgupta, A. Mirizzi, and M. Sen, *J. Cosmol. Astropart. Phys.* **02** (2017) 019.
 [15] V. V. Skobelev, *Sov. Phys. J.* **18**, 62 (1975).
 [16] M. T. Grisaru, P. Van Nieuwenhuizen, and C. C. Wu, *Phys. Rev. D* **12**, 397 (1975).
 [17] N. E. J. Bjerrum-Bohr, B. R. Holstein, L. Plante, and P. Vanhove, *Phys. Rev. D* **91**, 064008 (2015).
 [18] LIGO Scientific and Virgo Collaborations, *Ann. Phys. (Amsterdam)* **529**, 1600209 (2017).
 [19] P. Jones and D. Singleton, *Int. J. Mod. Phys. D* **28**, 1930010 (2019); **24**, 1544017 (2015).
 [20] P. Jones, P. McDougall, and D. Singleton, *Phys. Rev. D* **95**, 065010 (2017).